



DEPARTMENT OF THE NAVY

NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0052

1 Jun 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy

To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO USS RONALD REAGAN (CVN 76)
STRIKE GROUP PERSONNEL

Ref: (a) VCNO CDA Memo dtd 28 Mar 2014

(b) 5 C.F.R. § 2635

(c) DoDI 1320.04

Encl: (1) List of Attendees

(2) List of Non-Attendees

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I determined that a preponderance of the evidence does not substantiate misconduct by the U.S. Navy Officers listed in enclosures (1) and (2), while assigned to the USS RONALD REAGAN (CVN 76) STRIKE GROUP during the period 2006-2007.

2. During the USS RONALD REAGAN (CVN 76) STRIKE GROUP deployments in 2006-2007, four dinner events were hosted and paid for by GDMA, a prohibited source. Each of the officers listed in enclosure (1) attended at least one of these dinner events and either did not pay, or paid less than the market value of the event. I have determined that none of the gift exceptions contained in reference (b) apply to these dinner events.

3.

(b)(7)(A)

(b)(7)(A)

(b)(7)(A)

Strike

Subj: REPORTABLE INFORMATION ICO USS RONALD REAGAN (CVN 76)
STRIKE GROUP PERSONNEL

Group, each of the officers honestly and reasonably believed that their attendance was ethically permissible. As such, I have determined that it would be inappropriate to substantiate misconduct by any of these officers in regards to these dinners. However, I did provide counseling to the active duty attendees listed in enclosure (1) and required them to receive ethics training.

4. Enclosure (2) is a list of officers whose names appeared on a pre-dinner roster of anticipated attendees, but there is insufficient evidence to substantiate their attendance at any of the GDMA-hosted dinner events. The list of non-attendees is provided for the sole purpose of ensuring there is an administrative record documenting the determination that there is insufficient evidence to substantiate their attendance at any of the GDMA-hosted dinner events.

5. The above findings constitute reportable information in accordance with reference (c). My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C) may be reached at [REDACTED] (b)(6), (b)(7)(C) @navy.mil.



J. M. RICHARDSON

Copy to:
CNP [REDACTED] (b)(6), (b)(7)(C)

2006-2007 GDMA-HOSTED DINNER EVENTS LIST OF ATTENDEES

1.
2.
3.
4.
5.
6.
7.
8.
9.
10.
11.
12.
13.
14.
15.
16.
17.
18.
19.
20.
21.
22.

(b)(6), (b)(7)(C)

* All ranks are at time of dinner events

ENCL (1)

2006-2007 GDMA-HOSTED DINNER EVENTS LIST OF NON-ATTENDEES

1.
2.
3.
4.
5.

(b)(6), (b)(7)(C)

* All ranks are at time of dinner events

ENCL (2)



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NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800
Ser 08B-MP/0033
March 23, 2015

MEMORANDUM FOR SECRETARY OF THE NAVY

SUBJECT: Accountability Actions Relating to Glenn Defense Marine Asia (GDMA)

References: (a) Your ltr of 28 Mar 14
(b) VCNO ltr 5800 Ser N09/ of 28 Mar 14

References (a) and (b) designated me as the consolidated disposition authority (CDA) for the subject matter. This memorandum summarizes the CDA actions that I have taken regarding (b)(6), (b)(7)(C) USN.

A close review and legal analysis of the evidence indicates that (b)(6), (b)(7)(C) pursued a sound ethical course of conduct in each engagement of Glenn Defense Marine Asia (GDMA).

Based on the above, no further action regarding (b)(6), (b)(7)(C) will be pursued.


J. M. RICHARDSON

cc:
VCNO
GC
DJAG



DEPARTMENT OF THE NAVY
NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800
Ser 08B-MP/0039
April 24, 2015

MEMORANDUM FOR SECRETARY OF THE NAVY

SUBJECT: Accountability Actions Relating to Glenn Defense Marine Asia (GDMA)

References: (a) Your ltr of 28 Mar 14
(b) VCNO ltr 5800 Ser N09/ of 28 Mar 14
(c) DoDI 1320.04

References (a) and (b) designated me as the consolidated disposition authority (CDA) for the subject matter. This memorandum summarizes the CDA actions that I have taken regarding (b)(6), (b)(7)(C) U.S. Navy.

I conducted a close review and legal analysis of the evidence, including interviews of (b)(6), (b)(7)(C) numerous statements of other witnesses, and other documentary material. I find the allegations that (b)(6), (b)(7)(C) while serving as (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) during the time period of September 2003 - October 2003, accepted improper gifts from Glenn Defense Marine Asia or Leonard Francis are unsubstantiated. Based on the facts of the case, I did have a discussion with (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) concerning the risks inherent in any interactions with defense contractors and the need for robust processes to ensure compliance with ethical standards.

In accordance with reference (c), I will supply supplemental materials concerning the unsubstantiated allegations against (b)(6), (b)(7)(C) to the Naval Inspector General.


J. M. RICHARDSON

cc:
VCNO
GC
CNP
NAVIG
DJAG



DEPARTMENT OF THE NAVY

NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/042

27 Apr 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy

To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(C)
USN

Ref: (a) VCNO CDA Memo dtd 28 Mar 2014
(b) 5 C.F.R. § 2635
(c) DoDI 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I determined that a preponderance of the evidence does not substantiate any of the allegations of misconduct against (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) USN, while serving as (b)(6), (b)(7)(C) (b)(6), (b)(7)(C).

2. On 14 September 2003, (b)(6), (b)(7)(C) attended a dinner event that was paid for by GDMA, a prohibited source. On 9 October 2003, (b)(6), (b)(7)(C) attended a second dinner event that was also paid for by GDMA. I have determined that none the gift exceptions contained in reference (b) apply to these dinner events.

3. (b)(6), (b)(7)(A), (b)(7)(C)

(b)(6), (b)(7)(A), (b)(7)(C)

(b)(6), (b)(7)(A), (b)(7)(C) As such, I have determined that it would be inappropriate to substantiate allegations of misconduct against (b)(6), (b)(7)(C) in regards to these dinners, or any other matters. A review and determination regarding potential misconduct on the part of other (b)(6), (b)(7)(C) personnel will be pursued as a separate matter.

Subj: REPORTABLE INFORMATION ICO
USN

(b)(6), (b)(7)(C)

4. The above findings constitute reportable information in accordance with reference (c). My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.



J. M. RICHARDSON

Copy to:
CNP (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
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NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800
Ser 08B-MP/0051
June 1, 2015

MEMORANDUM FOR SECRETARY OF THE NAVY

SUBJECT: Accountability Actions Relating to Glenn Defense Marine Asia (GDMA)

References: (a) Your ltr of 28 Mar 14
(b) VCNO ltr 5800 Ser N09/ of 28 Mar 14
(c) My ltr 5800 Ser 08B-MP 0196 of 11 Dec 14
(d) 5 C.F.R. § 2635
(e) DoDI 1320.04

References (a) and (b) designated me as the consolidated disposition authority (CDA) for the subject matter. This memorandum summarizes the CDA actions that I have taken regarding officers of the USS RONALD REAGAN (CVN-76) Strike Group during 2006-2007.

I previously took action on five flag officers who were part of the REAGAN Strike Group at the time. Per reference (c), I recommended Secretarial Letters of Censure for three of the flag officers concerned and I addressed the lapses in judgment by the other two flag officers via appropriate administrative measures within my authority as the CDA.

I conducted a close review and legal analysis of the evidence concerning the remaining officers in the REAGAN Strike Group, including interviews of members of the wardroom, and other documentary material. I have substantiated that (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) USN, (b)(6), (b)(7)(C) USN (Ret.), (b)(6), (b)(7)(C) USN (Ret.), (b)(6), (b)(7)(C) USN (Ret.), and (b)(6), (b)(7)(C) USN (Ret.) accepted improper gifts in the form of attendance at GDMA-hosted dinner events. I addressed (b)(6), (b)(7)(C) lapse in judgment via an appropriate administrative measure within my authority as the CDA. (b)(7)(A) I took no actions against (b)(6), (b)(7)(C) USN (Ret.), (b)(6), (b)(7)(C) USN (Ret.), (b)(6), (b)(7)(C) USN (Ret.), and (b)(6), (b)(7)(C) USN (Ret.) beyond reporting my findings to the Naval Inspector General.

I have determined that twenty-two other officers, including (b)(6), (b)(7)(C) USN, attended GDMA-hosted dinner events and that none of the gift exceptions contained in reference (d) apply. However, I also determined that it would be

inappropriate to substantiate misconduct by these officers because they honestly and reasonably believed [REDACTED] (b)(7)(A) that attendance at the dinner events was permissible. I will conduct in-person mentoring with [REDACTED] (b)(6), (b)(7)(C) and ensure that [REDACTED] (b)(6), (b)(7)(C) receives ethics training. I am requiring that all other active duty officers who attended a GDMA dinner event participate in a telephonic mentoring session with me, as well as receive ethics training.

In accordance with reference (c), I will supply to the Naval Inspector General supplemental materials concerning the officers of the REAGAN Strike Group who attended GDMA-hosted dinner events.



J. M. RICHARDSON

cc:
VCNO
GC
CNP
NAVIG
DJAG



DEPARTMENT OF THE NAVY

NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0053

1 Jun 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy

To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C) USN

Ref: (a) VCNO CDA Memo dtd 28 Mar 2014

(b) 5 C.F.R. § 2635.202

(c) 5 C.F.R. § 2635.203

(d) DoDI 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I determined that a preponderance of the evidence substantiates that from Apr 2005 to Sep 2006, while on deployment with Carrier Strike Group SEVEN (CSG-7), (b)(6), (b)(7)(C) USN, accepted improper gifts from Mr. Leonard Francis, the President of GDMA, a defense contractor and a prohibited source per references (b) and (c).

2. More specifically, I determined that the evidence substantiates the following:

a. On 9 Feb 2006, while on deployment with CSG-7, (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) accepted the improper gift of a dinner party in Singapore from a prohibited source.

b. On 4 Jun 2006, while on deployment with CSG-7, (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) accepted the improper gift of a dinner party in Kuala Lumpur from a prohibited source.

c. On 11 Jun 2006, while on deployment with CSG-7, (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) accepted the improper gift of a dinner party in Hong Kong from a prohibited source.

3. The above findings constitute adverse information in accordance with reference (d). While the allegations are substantiated, it is important to understand the context of the events and place them in the proper perspective. I have

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C) USN

concluded that there are significant mitigating factors,

(b)(7)(A)

(b)(7)(A) for (b)(6), (b)(7)(C) attendance at these dinner events. I have, however, taken appropriate administrative action regarding (b)(6), (b)(7)(C) and required that (b)(6), (b)(7)(C) complete additional ethics training.

4. (b)(6), (b)(7)(C) continues to be a significant and valued asset to the Navy. To the extent this letter is provided to others in the future as evidence of adverse information concerning (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) I specifically recommend against withholding (b)(6), (b)(7)(C) name from any future promotion selection lists based upon the adverse information provided in this letter. This adverse information concerns events that happened approximately 10 years ago when

(b)(6), (b)(7)(C) I had the opportunity to speak with (b)(6), (b)(7)(C) to provide individual mentoring. I am fully satisfied that (b)(6), (b)(7)(C) recognizes (b)(6), (b)(7)(C) role in the ethical issues aboard the USS RONALD REAGAN in 2006, that (b)(6), (b)(7)(C) has learned from this experience, and that (b)(6), (b)(7)(C) will carry forward these lessons for (b)(6), (b)(7)(C) own benefit, and the benefit of (b)(6), (b)(7)(C) subordinates. To be clear, it would be unreasonable to withhold

(b)(6), (b)(7)(C) name from any future promotion selection lists based upon (b)(6), (b)(7)(C) attendance at some dinner events that occurred in 2006 while (b)(6), (b)(7)(C) was serving as (b)(6), (b)(7)(C)

5. My point of contact for this matter is (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) or

(b)(6), (b)(7)(C) @navy.mil.

J. M. RICHARDSON

Copy to:

CNP (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
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Ser 08B-MP/0054

1 Jun 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C) USN (RET.),
(b)(6), (b)(7)(C) USN (RET.), (b)(6), (b)(7)(C) USN
(RET.), AND (b)(6), (b)(7)(C) USN (RET.)

Ref: (a) VCNO CDA Memo dtd 28 Mar 2014
(b) 5 C.F.R. § 2635.202
(c) 5 C.F.R. § 2635.203
(d) DoDI 1320.04
(e) SECNAVINST 1920.6C
(f) AR 15-80

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I determined that a preponderance of the evidence substantiates that, while on deployment with Carrier Strike Group SEVEN (CSG-7) during 2006-2007, (b)(6), (b)(7)(C) USN (Ret.), (b)(6), (b)(7)(C) USN (Ret.), (b)(6), (b)(7)(C) USN (Ret.), and (b)(6), (b)(7)(C) USN (Ret.) accepted improper gifts from Mr. Leonard Francis, the President of GDMA, a defense contractor and a prohibited source per references (b) and (c).

2. More specifically, I determined that the evidence substantiates the following:

a. On 9 Feb 2006, while on deployment with CSG-7, (b)(6), (b)(7)(C) accepted the improper gift of a dinner party and cigars in Singapore from a prohibited source.

b. On 9 Feb 2006, while on deployment with CSG-7, (b)(6), (b)(7)(C) and (b)(6), (b)(7)(C) accepted the improper gift of a dinner party in Singapore from a prohibited source.

c. On 4 Jun 2006, while on deployment with CSG-7, (b)(6), (b)(7)(C) and (b)(6), (b)(7)(C) accepted the improper gift of a dinner party in Kuala Lumpur from a prohibited source.

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C), USN (RET.),
(b)(6), (b)(7)(C) USN (RET.), (b)(6), (b)(7)(C) USN
(RET.), AND (b)(6), (b)(7)(C) USN (RET.)

d. On 10 Mar 2007, while on deployment with CSG-7, (b)(6), (b)(7)(C)
and (b)(6), (b)(7)(C) accepted the improper gift of a dinner party in
Hong Kong from a prohibited source.

3. The above findings constitute adverse information in
accordance with reference (d). While the allegations are
substantiated, it is important to understand the context of the
events and place them in the proper perspective. I have
concluded that there are significant mitigating factors,

(b)(7)(A)

(b)(7)(A)

If reference (e) is changed to
allow for retirement grade determinations to be re-opened after
retirement under certain exceptional circumstances, similar to
those provided for in reference (f), then I recommend against
re-opening retirement grade determinations in the case of the
subject officers based upon the adverse information provided.

4. My point of contact for this matter is (b)(6), (b)(7)(C)
(b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) or
(b)(6), (b)(7)(C) @navy.mil.


J. M. RICHARDSON

Copy to:

CNP (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0211

December 11, 2014

MEMORANDUM FOR SECRETARY OF THE NAVY

SUBJECT: Accountability Action Relating to Glenn Defense Marine Asia (GDMA) –
RADM Timothy M. Giardina, USN

References: (a) SECNAV ltr of 28 Mar 14
(b) VCNO ltr 5800 Ser N09/ of 28 Mar 14
(c) DoDI 1320.04

References (a) and (b) designated me as the consolidated disposition authority (CDA) for the above-referenced subject matter. This memorandum summarizes the CDA actions taken to date regarding the subject flag officer.

In the case of RADM Timothy M. Giardina, USN, I addressed his lapse in judgment via an appropriate administrative measure within my authority as the CDA.

In accordance with reference (c), any substantiated adverse finding or conclusion from an officially documented investigation or inquiry, or any other credible information of an adverse nature, constitutes "adverse information." I have, therefore, reported all of the substantiated adverse findings concerning the subject flag officer to the Naval Inspector General, who will maintain a record of these findings.



J. M. RICHARDSON

Attachments:

None

cc:

VCNO

DJAG



DEPARTMENT OF THE NAVY

NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SSA 03)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0210

13 Feb 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO RADM TIMOTHY M. GIARDINA, USN

Ref: (a) VCNO CDA Memo dtd 28 Mar 2014
(b) 5 C.F.R. § 2635.202
(c) 5 C.F.R. § 2635.203
(d) DoDI 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I determined that a preponderance of the evidence substantiates that while acting as the Chief of Staff (CoS) for SEVENTH FLEET from August 2003 to July 2005, and as Deputy Commander, U.S. Pacific Fleet, from July 2010 to December 2011, RADM Giardina demonstrated poor judgment by accepting multiple dinners from Mr. Leonard Francis, the President of GDMA, a defense contractor and, therefore, a prohibited source per references (b) and (c).

2. More specifically, I determined that the evidence substantiates the following:

a. While serving as the Chief of Staff for SEVENTH FLEET from August, 2003, to July, 2005, RADM Giardina accepted the improper gift of a dinner in Singapore from Mr. Francis.

b. While serving as the Chief of Staff for SEVENTH FLEET from August, 2003, to July, 2005, RADM Giardina accepted the improper gift of a dinner in Malaysia from Mr. Francis.

3. RADM Giardina also displayed poor judgment when, despite his knowledge that Francis had previously attempted to influence him with improper gifts, he continued to interact with Mr. Francis, such as, while serving as a flag officer in the position of Deputy Commander, U.S. Pacific Fleet, meeting with him for a private breakfast in 2011 at a hotel in Hawaii and providing him with a personal email address.

Subj: ADVERSE INFORMATION ICO RADM TIMOTHY M. GIARDINA, USN

4. The above findings constitute adverse information in accordance with reference (d).

5. My point of contact for this matter is (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.


J. M. RICHARDSON

Copy to:
CNP (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (NSA 00)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-6010

5800

Ser 08B-MP/028

12 Mar 15

From: Commander, Military Personnel Detachment, Office of Naval
Reactors, Department of Energy
To: Naval Inspector General

Subj: ADDENDUM TO ADVERSE INFORMATION ICO RADM TIMOTHY M.
GIARDINA, USN

Ref: (a) My ltr 5800 Ser 08B-MP/0210 of 13 Feb 15 ICO RADM
Timothy M. Giardina, USN

1. This letter clarifies paragraph 1 of reference (a).

2. Paragraph 1 of reference (a) states that while acting as Chief of Staff (CoS) for SEVENTH FLEET from August 2003 to July 2005, and Deputy Commander, U.S. Pacific Fleet, from July 2010 to December 2011, RADM Giardina demonstrated poor judgment by accepting multiple dinners from Mr. Leonard Francis, the President of GDMA, a defense contractor and, therefore, a prohibited source. As is made clear in paragraph 2 of reference (a), both dinners took place while RADM Giardina was serving as the Chief of Staff for SEVENTH FLEET from August 2003 to July 2005 and not while he was serving as Deputy Commander, U.S. Pacific Fleet, from July 2010 to December 2011.

3. My point of contact for this matter is (b)(6), (b)(7)(C)
(b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) or
(b)(6), (b)(7)(C) @navy.mil.


J. M. RICHARDSON

Copy to:
CNP (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0196

December 11, 2014

MEMORANDUM FOR SECRETARY OF THE NAVY

**SUBJECT: Accountability Actions Relating to Glenn Defense Marine Asia (GDMA) –
USS RONALD REAGAN STRIKE GROUP (2006-2007)**

References: (a) SECNAV ltr of 28 Mar 14
(b) VCNO ltr 5800 Ser N09/ of 28 Mar 14
(c) DoDI 1320.04

References (a) and (b) designated me as the consolidated disposition authority (CDA) for the above-referenced subject matter. This memorandum summarizes the CDA actions taken to date.

In the cases of VADM Michael H. Miller, USN, RADM Terry B. Kraft, USN, and RDML David R. Pimpo, USN, I recommend that you issue Secretarial Letters of Censure and revoke end of tour awards for the assignments concerned. TABs A through C pertain. Further, I directed my staff to ensure that a summary of VADM Miller's and RDML Pimpo's lack of judgment in regards to their relationships with a prohibited source and foreign national be reported on the Joint Personnel Adjudication System (JPAS). I have also directed my staff to refer this matter to the Acquisition Integrity Office (AIO) for any action deemed appropriate.

In the cases of (b)(6), (b)(7)(C) USN, and (b)(6), (b)(7)(C) USN, I addressed their lapses in judgment via appropriate administrative measures within my authority as the CDA. There were also approximately 20 other officers (including one (b)(6), (b)(7)(C)) from the subject strike group who may have attended GDMA-hosted dinners. I intend to handle those cases with similar appropriate administrative measures.

In accordance with reference (c), any substantiated adverse finding or conclusion from an officially documented investigation or inquiry, or any other credible information of an adverse nature, constitutes "adverse information." I have, therefore, reported all of the substantiated adverse findings concerning each of the aforementioned flag officers to the Naval Inspector General, who will maintain a record of these findings.

One of the issues raised by this matter involves the use of Bravo Zulu (BZ) messages and Letters of Appreciation concerning GDMA, and when the use of the same crosses the line between expressing a permissible "thank you" for meeting contractual

requirements and expressing an impermissible endorsement of, or preference for, GDMA. Understanding that the evidence received thus far only captures a brief snapshot of time, mainly in 2006 and 2007, I am concerned that there may well have been a lack of understanding concerning the ethical rules in this area, especially among some of the Navy's more senior leaders in the Pacific Fleet area of responsibility. While systemic corrective training measures may have been implemented in the interim, I intend to discuss this issue with the Vice Chief of Naval Operations.

The evidence also suggests that there was not a uniform and well established process concerning how opinions are sought from an ethics counselor, how evidence of such opinions are maintained, and how market value determinations of gifts are made. I will engage further on this issue with the Deputy Judge Advocate General of the Navy.

Finally, I note, again during the stated time period of this review, attempts by Navy personnel to circumvent the Navy's oversight responsibilities over contractors. My CDA team will brief Supply Corps senior leaders on the investigation to determine any lessons learned.



J. M. RICHARDSON

Attachments:

As stated

cc:

VCNO

DJAG



DEPARTMENT OF THE NAVY
NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0198

13 Feb 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO RADM MICHAEL H. MILLER, USN

Ref: (a) VCNO CDA Memo dtd 28 Mar 2014
(b) 5 C.F.R. § 2635.202
(c) 5 C.F.R. § 2635.203
(d) Article 0802, U.S. Navy Regulations
(e) DoDI 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I determined that a preponderance of the evidence substantiates that in 2006, while acting as Commander, Carrier Strike Group SEVEN (CSG-7), RADM Michael H. Miller, USN, exercised very poor judgment regarding his relationship with Mr. Leonard Francis, the President of GDMA, a defense contractor and, therefore, a prohibited source for gifts per references (b) and (c). Further, through his repeated and increasingly familiar contacts with Mr. Francis, RADM Miller failed to display the requisite leadership by personal example that is required by reference (d) of all commanding officers, and established, throughout the deployment of USS RONALD REAGAN (CVN-76), a weak ethical tone which permeated the senior leadership of the Strike Group.

2. More specifically, I determined that the evidence substantiates the following:

a. On 9 Feb 2006, while serving as Commander, Carrier Strike Group SEVEN (CCSG-7), RADM Miller accepted the improper gift of a ship model from Mr. Francis by paying less than the market value;

b. On 9 Feb 2006, while serving as CCSG-7, RADM Miller accepted the improper gift of a GDMA-hosted dinner party in Singapore from Mr. Francis by paying less than the market value;

Subj: ADVERSE INFORMATION ICO RADM MICHAEL H. MILLER, USN

c. On 4 Jun 2006, while serving as CCSG-7, RADM Miller accepted the improper gift of a GDMA-hosted dinner party in Kuala Lumpur, Malaysia from Mr. Francis by paying less than the market value;

d. On or about 5 Jun 2006, while serving as CCSG-7, RADM Miller solicited the improper gift of a GDMA-hosted dinner party in Hong Kong from Mr. Francis;

e. On 11 Jun 2006, while serving as CCSG-7, RADM Miller accepted the improper gift of a GDMA-hosted dinner party in Hong Kong from Mr. Francis by paying less than the market value;

f. While serving as CCSG-7, RADM Miller improperly endorsed Mr. Francis and GDMA with Bravo Zulu (BZ) messages and Letters of Appreciation; and

g. While serving as CCSG-7, RADM Miller violated the Standards of Ethical Conduct, which are applicable to all employees of the Executive Branch of the U.S. Government, due to the frequency in which he accepted numerous gifts from Mr. Francis.

3. The above findings constitute adverse information in accordance with reference (e).

4. My point of contact for this matter is (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) or
(b)(6), (b)(7)(C) @navy.mil.



J. M. RICHARDSON

Copy to:
CNP (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0200

13 Feb 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO RADM TERRY B. KRAFT, USN

Ref: (a) VCNO CDA Memo dtd 28 Mar 2014
(b) 5 C.F.R. § 2635.202
(c) 5 C.F.R. § 2635.203
(d) Article 0802, U.S. Navy Regulations
(e) DoDI 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I determined that a preponderance of the evidence substantiates that in 2006 and 2007, while serving as Commanding Officer, USS RONALD REAGAN (CVN 76), RADM Terry B. Kraft, USN, exercised very poor judgment regarding his relationship with Mr. Leonard Francis, the President of GDMA, a defense contractor and, therefore, a prohibited source for gifts per references (b) and (c). Through his repeated acceptance of improper gifts from Mr. Francis, RADM Kraft failed to display the requisite leadership by personal example that is required by reference (d) of all commanding officers, and established, throughout the deployment of USS RONALD REAGAN (CVN-76), a weak ethical tone which permeated his command.

2. More specifically, I determined that the evidence substantiates the following:

a. On 9 Feb 2006, while serving as Commanding Officer, USS RONALD REAGAN (CVN 76), RADM Kraft accepted the improper gift of a GDMA-hosted dinner party in Singapore from Mr. Francis by paying less than the market value;

b. On 4 Jun 2006, while serving as Commanding Officer, USS RONALD REAGAN (CVN 76), RADM Kraft accepted the improper gift of a GDMA-hosted dinner party in Kuala Lumpur, Malaysia from Mr. Francis by paying less than the market value;

Subj: ADVERSE INFORMATION ICO RADM TERRY B. KRAFT, USN

c. On 11 Jun 2006, while serving as Commanding Officer, USS RONALD REAGAN (CVN 76), RADM Kraft accepted the improper gift of a GDMA-hosted dinner party in Hong Kong from Mr. Francis by paying less than the market value;

d. On 10 Mar 2007, while serving as Commanding Officer, USS RONALD REAGAN (CVN 76), RADM Kraft accepted the improper gift of a GDMA-hosted dinner party in Hong Kong from Mr. Francis by paying less than the market value;

e. In March 2007, while serving as Commanding Officer, USS RONALD REAGAN (CVN 76), RADM Kraft improperly endorsed Mr. Francis and GDMA with a Bravo Zulu (BZ) message; and

f. While serving as Commanding Officer, USS RONALD REAGAN (CVN 76), RADM Kraft violated the Standards of Ethical Conduct, which are applicable to all employees of the Executive Branch of the U.S. Government, due to the frequency in which he accepted gifts from Mr. Francis.

3. The above findings constitute adverse information in accordance with reference (e).

4. My point of contact for this matter is (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @navy.mil.



J. M. RICHARDSON

Copy to:

CNP (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0202

13 Feb 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO RDML DAVID R. PIMPO, SC, USN

Ref: (a) VCNO CDA Memo dtd 28 Mar 2014
(b) 5 C.F.R. § 2635.202
(c) 5 C.F.R. § 2635.203
(d) Article 0802, U.S. Navy Regulations
(e) DoDI 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I determined that a preponderance of the evidence substantiates that in 2006 and 2007, while serving as the Supply Officer for USS RONALD REAGAN (CVN 76), RDML David R. Pimpo, SC, USN, exercised very poor judgment regarding his relationship with Mr. Leonard Francis, the President of GDMA, a defense contractor and, therefore, a prohibited source per references (b) and (c). Through his repeated acceptance of improper gifts from Mr. Francis, RDML Pimpo failed to display the requisite leadership by personal example that is required by reference (d) of all commanding officers and their subordinates.

2. More specifically, I determined that the evidence substantiates the following:

a. On 9 Feb 2006, while serving as the Supply Officer, USS RONALD REAGAN (CVN 76), RDML Pimpo accepted the improper gift of a GDMA-hosted dinner party in Singapore from Mr. Francis by paying less than the market value;

b. On 19 May 2006, while serving as the Supply Officer, USS RONALD REAGAN (CVN 76), RDML Pimpo solicited and accepted the improper gift of lodging reservation services in Hong Kong for himself and (b)(6), (b)(7)(C) and select officers and (b)(6), (b)(7)(C) from GDMA;

Subj: ADVERSE INFORMATION ICO RDML DAVID R. PIMPO, USN

c. On 9 Jun 2006, while serving as the Supply Officer, USS RONALD REAGAN (CVN 76), RDML Pimpo accepted the improper gift of sightseeing and shopping tour services for (b)(6), (b)(7)(C) and (b)(6), (b)(7)(C) of other select officers, in Hong Kong from GDMA;

d. On 11 Jun 2006, while serving as the Supply Officer, USS RONALD REAGAN (CVN 76), RDML Pimpo accepted the improper gift of a GDMA-hosted dinner party in Hong Kong from Mr. Francis by paying less than the market value;

e. On 22 Feb 2007, while serving as the Supply Officer, USS RONALD REAGAN (CVN 76), RDML Pimpo solicited and later accepted the improper gift of lodging reservation services in Hong Kong for himself, and select officers, from GDMA;

f. On 10 Mar 2007, while serving as the Supply Officer, USS RONALD REAGAN (CVN 76), RDML Pimpo accepted the improper gift of a GDMA-hosted dinner party in Hong Kong from Mr. Francis by paying less than the market value;

g. On 6 Aug 2007, RDML Pimpo accepted the improper gift of large and small ship models of the USS RONALD REAGAN (CVN 76) from Mr. Francis by paying less than the market value;

h. While serving as Supply Officer, USS RONALD REAGAN (CVN 76), RDML Pimpo violated the Standards of Ethical Conduct, which are applicable to all employees of the Executive Branch of the U.S. Government, due to the frequency in which he accepted numerous gifts from Mr. Francis.

i. While serving as Supply Officer, USS RONALD REAGAN (CVN 76), RDML Pimpo improperly accepted gifts in return for being influenced in the performance of his official acts.

3. The above findings constitute adverse information in accordance with reference (e).

4. My point of contact for this matter is (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.


J. M. RICHARDSON

Copy to:
CNP (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (NSA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0208

13 Feb 15

From: Commander, Military Personnel Detachment, Office of Naval
Reactors, Department of Energy
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C) USN

Ref: (a) VCNO CDA Memo dtd 28 Mar 2014
(b) 5 C.F.R. § 2635.202
(c) 5 C.F.R. § 2635.203
(d) Article 0802, U.S. Navy Regulations
(e) DoDI 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I determined that a preponderance of the evidence substantiates that in 2006 and 2007, while (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) exercised poor judgment by attending multiple dinners with Mr. Leonard Francis, the President of GDMA, a defense contractor and, therefore, a prohibited source per references (b) and (c). Through (b)(6), (b)(7)(C) repeated acceptance of improper gifts from Mr. Francis, (b)(6), (b)(7)(C) failed to display the requisite leadership by personal example that is required by reference (d) of all commanding officers and their subordinates.

2. More specifically, I determined that the evidence substantiates the following:

a. On 9 Feb 2006, while serving as (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) accepted the improper gift of a GDMA-hosted dinner party in Singapore from Francis.

b. On 4 June 2006, while serving as (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) accepted the improper gift of a GDMA-hosted dinner party in Kuala Lumpur from Francis.

c. On 11 June 2006, while serving as (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) accepted the improper gift of a GDMA-hosted dinner party in Hong Kong from Francis.

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C) USN

d. On 10 Mar 2007, while serving as (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) accepted the improper gift of a GDMA-hosted dinner party in Hong Kong from Francis.

e. While serving as (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) violated the Standards of Ethical Conduct, which are applicable to all employees of the Executive Branch of the U.S. Government, due to the frequency in which (b)(6), (b)(7)(C) accepted numerous gifts from Francis.

3. The above findings constitute adverse information in accordance with reference (e). While the allegations are substantiated, it is important to understand the context of the events and place them in the proper perspective. I have concluded that there are significant mitigating factors, including:

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(b)(7)(A)

(b)(6), (b)(7)(C) continues to be a significant contributor and valued senior leader in the Navy.

4. My point of contact for this matter is (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.


J. M. RICHARDSON

Copy to:
CNP (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SSA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0206

13 Feb 15

From: Commander, Military Personnel Detachment, Office of Naval
Reactors, Department of Energy
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C) USN

Ref: (a) VCNO CDA Memo dtd 28 Mar 2014
(b) 5 C.F.R. § 2635.202
(c) 5 C.F.R. § 2635.203
(d) Article 0802, U.S. Navy Regulations
(e) DoDI 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I determined that a preponderance of the evidence substantiates that from Apr 2005 to Sep 2006, while serving as (b)(6), (b)(7)(C) on deployment with Carrier Strike Group SEVEN (CCSG-7), (b)(6), (b)(7)(C) USN, displayed poor judgment when (b)(6), (b)(7)(C) maintained an overly-friendly relationship with and accepted improper gifts in the form of extravagant dinner events from Mr. Leonard Francis, the President of GDMA, a defense contractor and a prohibited source per references (b) and (c). (b)(6), (b)(7)(C) failed to demonstrate the proper ethical example for other officers in accordance with reference (d).

2. More specifically, I determined that the evidence substantiates the following:

a. On 9 Feb 2006, while serving as (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) accepted the improper gift of a GDMA-hosted dinner party in Singapore from GDMA, a prohibited source.

b. On 4 Jun 2006, while serving as (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) accepted the improper gift of a GDMA-hosted dinner party in Kuala Lumpur from GDMA, a prohibited source.

3. The above findings constitute adverse information in accordance with reference (e). While the allegations are substantiated, it is important to understand the context of the

Subj: ADVERSE INFORMATION ICO

(b)(6), (b)(7)(C)

USN

events and place them in the proper perspective. I have concluded that there are significant mitigating factors, including:

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•
(b)(7)(A)

(b)(6), (b)(7)(C)

continues to be a significant contributor and valued senior leader in the Navy.

4. My point of contact for this matter is

(b)(6), (b)(7)(C)

may be reached at

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

@navy.mil.

(b)(6), (b)(7)(C)

or

J. M. RICHARDSON

Copy to:

CNP

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 055
18 Nov 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence does not substantiate any misconduct against (b)(6), (b)(7)(C) USN, while serving as (b)(6), (b)(7)(C) on board USS NIMITZ (CVN 68) in 2007.

2. There is some evidence that (b)(6), (b)(7)(C) received the improper gift of a discounted hotel room, on or about 21 August 2007, in excess of ethical limits, from GDMA, a prohibited source. However, after a review of all the information provided, there was insufficient evidence to determine that (b)(6), (b)(7)(C) in fact, received a discounted hotel room (b)(7)(A). (b)(7)(A)
(b)(7)(A) In addition, (b)(6), (b)(7)(C) decision to speak with another individual regarding the ongoing investigation, despite a request from investigators that (b)(6), (b)(7)(C) not do so, did not, under the circumstances, amount to misconduct. As such, I determined that it would be inappropriate to substantiate these allegations.

3. The above findings constitute reportable information in accordance with reference (d). There is no evidence that (b)(6), (b)(7)(C) provided any money to any party, including GDMA, for or in connection with any gift. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gifts received.

4. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
DCIS
NCIS (b)(6), (b)(7)(C)

000031



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/054
18 Nov 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence does not substantiate any misconduct against (b)(6), (b)(7)(C) USN, while serving as (b)(6), (b)(7)(C) on board USS NIMITZ (CVN 68) in 2007.

2. On or about August 2007, (b)(6), (b)(7)(C) may have received a discounted hotel room and a dinner, in excess of ethical limits, from GDMA, a prohibited source. I determined that none of the gift exceptions contained in reference (c) apply to the dinner events.

3. Based on all the facts and circumstances known to me for this allegation, I determined that (b)(6), (b)(7)(C) held an honest and reasonable belief that (b)(6), (b)(7)(C) attendance at dinner (b)(7)(A) and accordingly, that it was ethically permissible for (b)(6), (b)(7)(C) to attend. Further, given GDMA's deceptive practices and gift-giving tactics, it is reasonable to conclude that (b)(6), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) As such, I determined that it would be inappropriate to substantiate misconduct against (b)(6), (b)(7)(C)

4. The above findings constitute reportable information in accordance with reference (d). There is no evidence that (b)(6), (b)(7)(C) provided any money to any party, including GDMA, for or in connection with the gifts. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gifts received.

5. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
DCIS
NCIS (b)(6), (b)(7)(C)
0032



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/053
18 Nov 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against (b)(6), (b)(7)(C) USN, while serving as the (b)(6), (b)(7)(C) onboard the USS George Washington, during a port visit in Singapore in 2009.

2. On or about 5 August 2009, (b)(6), (b)(7)(C) attended a lavish dinner event in Singapore with a market value in excess of ethical limits that was paid for by GDMA, a prohibited source. However, (b)(6), (b)(7)(C) took immediate corrective actions, brought the dinner to the attention of the (b)(6), (b)(7)(C) Staff Judge Advocate (SJA) and then paid the determined fair market value for the meal.

3. Based on all the facts and circumstances known to me for this allegation, I determined that because

(b)(6), (b)(7)(A), (b)(7)(C)

(b)(6), (b)(7)(C) did exactly what is expected of senior officers. When (b)(6), (b)(7)(C) saw something that deviated from (b)(6), (b)(7)(C) ethics training, (b)(6), (b)(7)(C) spoke up and sought the SJA's advice. This is a fine example for our officers and Sailors.

4. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact.

5. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) (b)(6), (b)(7)(C)@navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
NCIS (b)(6), (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/51
10 Nov 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against (b)(6), (b)(7)(C) USN, while serving as the (b)(6), (b)(7)(C) of USS BRIDGE (AOE 10) during a port visit in Singapore in 2003.

2. On or about September and October 2003, (b)(6), (b)(7)(C) attended two dinner events in Singapore with a market value in excess of ethical limits that were paid for by GDMA, a prohibited source. I determined that none of the gift exceptions contained in reference (c) apply to the dinner events. (b)(6), (b)(7)(C) also provided a letter of appreciation to GDMA for services provided during the port visit.

3. Based on all the facts and circumstances known to me for this allegation, I determined that (b)(6), (b)(7)(C) held an honest and reasonable belief that (b)(6), (b)(7)(C) attendance at the dinners (b)(7)(A) and accordingly, that it was ethically permissible for (b)(6), (b)(7)(C) to attend. In addition, I determined that the provision of a letter of appreciation from (b)(6), (b)(7)(C) did not amount to an improper endorsement under ref (c). As such, I determined that it would be inappropriate to substantiate misconduct against (b)(6), (b)(7)(C).

4. The above findings constitute reportable information in accordance with reference (d). There is no evidence that (b)(6), (b)(7)(C) provided any money to any party, including GDMA, for, or in connection with, the dinners. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

5. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
NCIS (b)(6), (b)(7)(C)
DCIS
000034



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/50
3 Nov 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO NIMITZ 03 MEMBERS IN ATTENDANCE AT
DINNER(S) AND/OR GOLF

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

Encl: (1) List of Officers Who Attended One Dinner
(2) List of Officers Who Attended Two Dinners
(3) List of Officers Who attended Dinner(s) and Golf

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against a number of individuals who were in attendance at the dinner(s) and/ or golf event hosted by GDMA during USS NIMITZ Strike Group's port visits to Singapore in September and October 2003.

2. On or about 14 September 2003, several senior officers of the USS NIMITZ Strike Group attended a lavish dinner event in Singapore with a market value in excess of ethical limits that was paid for by GDMA, a prohibited source. I determined that the Safe Harbor provision contained in reference (c) applied to this dinner event for the individuals listed in the enclosures, particularly because the [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)

(b)(7)(A)

3. On or about 9 October 2003, several senior officers of the USS NIMITZ Strike Group attended a lavish dinner event in Singapore with a market value in excess of ethical limits that was paid for by GDMA, a prohibited source. I determined that none of the exceptions contained in reference (c) applied to this dinner event; however, based on all the facts and circumstances, I determined that the individuals held an honest and reasonable belief that their attendance at the 9 October dinner [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) and accordingly, that it was ethically permissible for them to attend. As such, I determined that it would be inappropriate to substantiate misconduct.

4. On or about 8 October 2003, two officers of the USS NIMITZ Strike Group attended a golf event. Although the event was organized by GDMA, a prohibited source, I determined that this event was not a gift because the officers who attended paid market value for the golf fees and associated meal.

Subj: REPORTABLE INFORMATION ICO NIMITZ 03 MEMBERS IN ATTENDANCE AT
DINNER(S) AND/OR GOLF

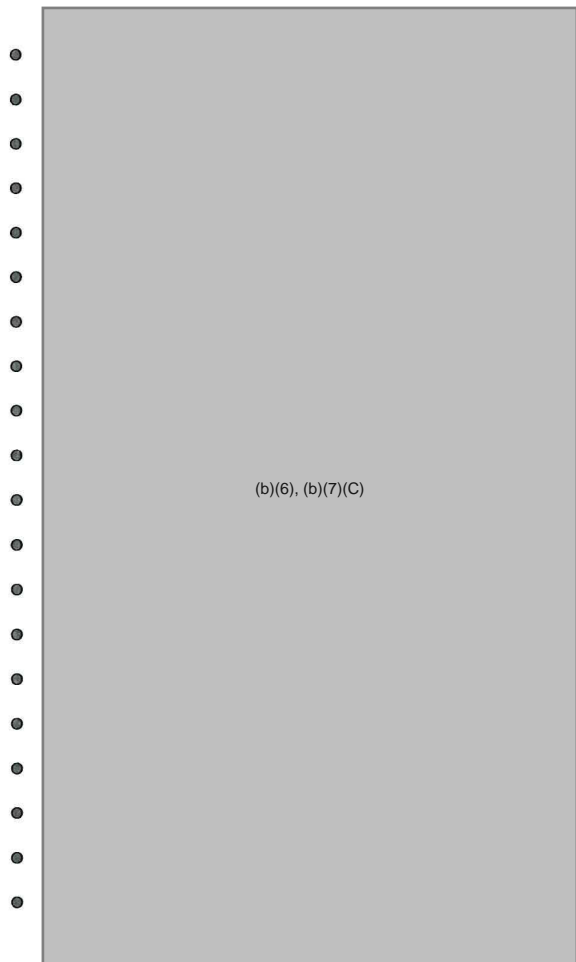
5. There is no evidence that any of the individuals listed in the enclosures provided any money to any party, including GDMA, for, or in connection with, any of the dinners. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

6. The above findings constitute reportable information in accordance with reference (d). My point of contact for this matter is (b)(6), (b)(7)(C) He may be reached at (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
NCIS
DCIS (b)(6), (b)(7)(C)

Officers Who Attended One Dinner



Enclosure (1)

Officers Who Attended Both Dinners



Enclosure (2)

Officers Who Attended Dinner(s) and Golf

- (b)(6), (b)(7)(C)
-

Enclosure (3)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800

Ser CDA/ 48
21 Oct 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04


1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against (b)(6), (b)(7)(C) USN, while serving as the (b)(6), (b)(7)(C) of USS PRINCETON (CG 59) during a port visit in Singapore in 2003.

2. On or about 9 October 2003, (b)(6), (b)(7)(C) attended a lavish dinner event in Singapore with a market value in excess of ethical limits that was paid for by GDMA, a prohibited source. I determined that none of the gift exceptions contained in reference (c) apply to this dinner event.

3. Based on all the facts and circumstances known to me for this allegation, I determined that (b)(6), (b)(7)(C) held an honest and reasonable belief that (b)(7)(A) attendance at the dinner was (b)(7)(A) and accordingly, that it was ethically permissible for (b)(6), (b)(7)(C) to attend. Based on (b)(7)(A) position and knowledge at the time, I also determined that (b)(7)(A) As such, I determined that it would be inappropriate to substantiate misconduct against (b)(6), (b)(7)(C) continues to be a significant contributor and valued officer in the Navy.

4. The above findings constitute reportable information in accordance with reference (d). There is no evidence that (b)(6), (b)(7)(C) provided any money to any party, including GDMA, for, or in connection with, the 9 October 2003 dinner. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

5. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
NCIS
DCIS (b)(6), (b)(7)(C)

000040



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800

Ser CDA/ 47

30 Sep 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence does not substantiate misconduct by [REDACTED] (b)(6), (b)(7)(C) USN, while serving as [REDACTED] (b)(6), (b)(7)(C) on board USS NIMITZ (CVN 68) in 2007. There was absolutely no evidence that [REDACTED] (b)(6), (b)(7)(C) took any official action on behalf of or to benefit GDMA, nor was [REDACTED] (b)(6), (b)(7)(C) requested to do so.

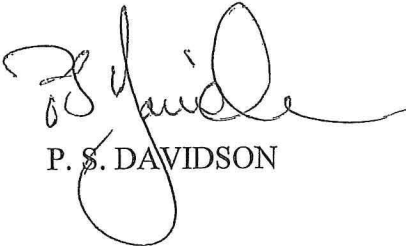
2. Evidence provided by the Department of Justice showed that in August 2007, [REDACTED] (b)(6), (b)(7)(C) received the improper gift of a discounted hotel room from GDMA, a prohibited source, in Hong Kong. I determined that none of the gift exceptions contained in reference (c) apply.

3. I determined there was insufficient evidence to substantiate allegations that [REDACTED] (b)(6), (b)(7)(C) accented the gift of a discounted hotel room from GDMA. [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(7)(A) Moreover, GDMA engaged in deceptive practices to [REDACTED] (b)(7)(A) [REDACTED] (b)(7)(A) As such, it would be inappropriate to substantiate this allegation.

4. The above findings constitute reportable information in accordance with reference (d). Within my authority as CDA, I consider this matter to be closed. There is no evidence that [REDACTED] (b)(6), (b)(7)(C) provided any money to any party, including GDMA, for, or in connection with, the hotel discount. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

5. My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C) may be reached at [REDACTED] (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:

VCNO (N09BL)

CNP (N00L)

DCIS

NCIS

[REDACTED] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/46
30 Sep 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence does not substantiate misconduct by (b)(6), (b)(7)(C) USN, while serving as (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) on board USS NIMITZ (CVN 68) in 2007. There was absolutely no evidence that (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) took any official action on behalf of or to benefit GDMA, nor was (b)(6), (b)(7)(C) requested to do so.

2. Evidence provided by the Department of Justice showed that in August 2007, (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) received the improper gifts of discounted hotel rooms from GDMA, a prohibited source, for (b)(6), (b)(7)(C) in Hong Kong and Singapore, as well as the gift of transportation from GDMA (b)(6), (b)(7)(C). I determined that none of the gift exceptions contained in reference (c) apply to any of these gifts.

3. I determined there was insufficient evidence to substantiate allegations that (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) accepted the gifts of discounted hotel rooms and transportation from GDMA. (b)(6), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) (b)(7)(A) Moreover, GDMA engaged in deceptive practices (b)(7)(A) (b)(7)(A) Additionally, there is no evidence to support that (b)(6), (b)(7)(C) (b)(7)(A) the transportation for (b)(6), (b)(7)(C) was a gift from GDMA. As such, it would be inappropriate to substantiate these allegations.

4. The above findings constitute reportable information in accordance with reference (d). Within my authority as CDA, I consider this matter to be closed. There is no evidence that (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) provided any money to any party, including GDMA, for, or in connection with, the discounted hotel rooms and transportation. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gifts received.

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

5. My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C) may be reached at [REDACTED] (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
DCIS
NCIS [REDACTED] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800

Ser CDA/45.

30 Sep 16

From: Commander, United States Fleet Forces Command

To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(C) USN, (b)(6), (b)(7)(C)
(b)(6), (b)(7)(C) AND (b)(6), (b)(7)(C)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against (b)(6), (b)(7)(C) from 2007, while (b)(6), (b)(7)(C) was serving as the (b)(6), (b)(7)(C) on board USS NIMITZ (CVN 68); (b)(6), (b)(7)(C) from 2007, while serving as the (b)(6), (b)(7)(C) on board USS NIMITZ (CVN68); and, (b)(6), (b)(7)(C) from 2007, while (b)(6), (b)(7)(C) was serving as the (b)(6), (b)(7)(C) on board USS NIMITZ (CVN 68). I determined, by a preponderance of the evidence, these officers paid for their hotel rooms in Hong Kong and did not receive any gift of discounted lodging from GDMA. There was absolutely no evidence that any of these officers took any official action on behalf of or to benefit GDMA, nor were they requested to do so.

2. Within my authority as CDA, I consider this matter to be closed.

3. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:

VCNO (N09BL)

CNP (N00L)

NCIS

DCIS

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/044
20 Sep 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

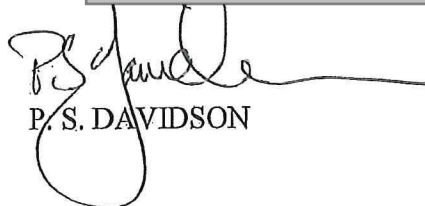
Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against [REDACTED] (b)(6), (b)(7)(C) USN, while serving as the [REDACTED] (b)(6), (b)(7)(C) during a port visit in Singapore in 2003.

2. On or about 9 October 2003, [REDACTED] (b)(6), (b)(7)(C) attended a lavish dinner event in Singapore with a market value in excess of ethical limits that was paid for by GDMA, a prohibited source. I determined that none of the gift exceptions contained in reference (c) apply to this dinner event.

3. Based on all the facts and circumstances known to me for this allegation, I determined that [REDACTED] (b)(6), (b)(7)(C) held an honest and reasonable belief that [REDACTED] (b)(7)(A) attendance at the dinner was [REDACTED] (b)(7)(A) and accordingly, that it was ethically permissible for [REDACTED] (b)(6), (b)(7)(C) to attend. [REDACTED] (b)(7)(A) As such, I determined that it would be inappropriate to substantiate misconduct against [REDACTED] (b)(6), (b)(7)(C) continues to be a significant contributor and valued officer in the Navy.

4. The above findings constitute reportable information in accordance with reference (d). There is no evidence that [REDACTED] (b)(6), (b)(7)(C) provided any money to any party, including GDMA, for, or in connection with, the 9 October 2003 dinner. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received. My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C) may be reached at [REDACTED] (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP
NCIS [REDACTED] (b)(6), (b)(7)(C)
DCIS [REDACTED]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 043
20 Sep 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO MEMBERS IN ATTENDANCE AT 20
SEPTEMBER 2003 SINGAPORE DINNER HOSTED BY GDMA

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO Ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against a number of individuals who were in attendance at a dinner hosted by GDMA during an Expeditionary Strike Group ONE port visit to Singapore in September 2003.

2. On or about 20 September 2003, the following individuals attended a lavish dinner event in Singapore with a market value in excess of ethical limits that was paid for by GDMA, a prohibited source:

a.
b.
c.
d.
e.
f.
g.
h.
i.
j.
k.

(b)(6), (b)(7)(C)

I determined that none of the gift exceptions contained in reference (c) apply to this dinner event.

3. Based on all the facts and circumstances, I determined that the above named individuals held an honest and reasonable belief that their attendance at the dinner was (b)(7)(A)

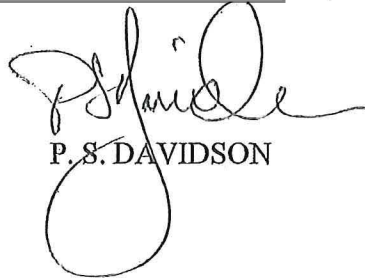
(b)(6), (b)(7)(C) and accordingly, that it was ethically permissible for them to attend. (b)(7)(A)

(b)(7)(A) As such, I determined that it would be inappropriate to substantiate misconduct against any of the above listed individuals.

Subj: REPORTABLE INFORMATION ICO MEMBERS IN ATTENDANCE AT 20
SEPTEMBER 2003 SINGAPORE DINNER HOSTED BY GDMA

4. There is no evidence that any of the individuals listed above provided any money to any party, including GDMA, for, or in connection with, the 20 September 2003 dinner. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

5. The above findings constitute reportable information in accordance with reference (d). My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
NCIS
DCIS (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/041
1 Sep 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against (b)(6), (b)(7)(C) from 2007, while (b)(6), (b)(7)(C) was serving as the (b)(6), (b)(7)(C) on board USS NIMITZ (CVN 68). I determined that a preponderance of the evidence substantiates that (b)(6), (b)(7)(C) solicited the improper gift of discounted lodging for others in Hong Kong and Singapore from GDMA, a defense contractor and prohibited source. However, there was absolutely no evidence that (b)(6), (b)(7)(C) took any official action on behalf of or to benefit GDMA, nor was (b)(6), (b)(7)(C) requested to do so.

2. Between 19 July 2007 and 1 September 2007, (b)(6), (b)(7)(C) solicited hotel rooms for senior officers with CSG-11 directly from GDMA. (b)(6), (b)(7)(C) knew or reasonably should have known that the lodging (b)(6), (b)(7)(C) solicited in Hong Kong and Singapore included special discounts, and that those discounts were coming from GDMA. While there is no evidence that (b)(6), (b)(7)(C) knew the full extent of the discounts actually being given, or that GDMA was actually paying for portions of the rooms itself, (b)(6), (b)(7)(C) was given more than sufficient notice, through (b)(6), (b)(7)(C) communications with GDMA, that the rooms were being offered at discounted rates, and that those discounts were being provided to the officers by a defense contractor. While the government's contract with GDMA for Singapore and Hong Kong did cover assistance with booking hotel accommodations, it only provided for that service where there was no other contractor engaged to provide it, such as MWR. MWR was operating in both Hong Kong and Singapore. (b)(6), (b)(7)(C) could not have had and honest and reasonable belief that it was within ethical guidelines to solicit discounts from GDMA, particularly in the form of special "upgrades" and deals offered only to certain officers related to their positions.

3. The above finding constitutes adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events and place them in the proper perspective. I determined that there are other significant mitigating factors, including:

Subj: ADVERSE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

• [REDACTED] (b)(7)(A)

4. I addressed this personally with [REDACTED] (b)(6), (b)(7)(C) through administrative action within my authority as CDA, and consider this matter to be closed. I do not recommend that [REDACTED] (b)(6), (b)(7)(C) be re-considered for retirement grade determination. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

5. My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C) may be reached at [REDACTED] (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP
NCIS
DCIS [REDACTED] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/040
1 Sep 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against (b)(6), (b)(7)(C) from 2007, while (b)(6), (b)(7)(C) was serving as (b)(6), (b)(7)(C) on board USS NIMITZ (CVN 68). I determined that a preponderance of the evidence substantiates that (b)(6), (b)(7)(C) improperly accepted the gift of a bottle of wine and brunch from GDMA, improperly solicited discounted hotel lodging in Singapore for others from GDMA, and was derelict in the performance of (b)(6), (b)(7)(C) duties for negligently failing to prevent (b)(6), (b)(7)(C) from soliciting improper gifts of discounted hotel lodging for others from GDMA. However, there was absolutely no evidence that (b)(6), (b)(7)(C) took any official action on behalf of or to benefit GDMA, nor was (b)(6), (b)(7)(C) requested to do so.

2. Between 19 July 2007 and 1 September 2007, a (b)(6), (b)(7)(C) solicited hotel rooms for senior officers at discounted rates from GDMA, a prohibited source. Not only was (b)(6), (b)(7)(C) directly involved in the solicitation of the gift of discounted hotel lodging, (b)(6), (b)(7)(C) knew or should have known that a (b)(6), (b)(7)(C) and another (b)(6), (b)(7)(C) were soliciting gifts from GDMA and failed to intervene. (b)(6), (b)(7)(C) unquestionably had a duty to ensure that (b)(6), (b)(7)(C) and its personnel conducted their business in accordance with the applicable ethical rules. In allowing this (b)(6), (b)(7)(C) in the (b)(6), (b)(7)(C) to solicit improper gifts from GDMA, (b)(6), (b)(7)(C) was derelict in the performance of that duty, and that dereliction can be attributed to neglect.

3. Additionally, (b)(6), (b)(7)(C) accepted the improper gift of a bottle of wine and brunch, in excess of ethical limits, from GDMA. Although (b)(6), (b)(7)(C) does not admit to accepting these gifts from GDMA the evidence I have reviewed shows that (b)(6), (b)(7)(C) knowingly accepted the gifts. Therefore, these allegations are substantiated.

4. The above finding constitutes adverse information in accordance with reference (d). I addressed this personally with (b)(6), (b)(7)(C) through administrative action within my authority as CDA, and consider this matter to be closed. I considered all potential and appropriate

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C) USN

remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gifts received.

5. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (b)(6), (b)(7)(C)
NCIS
DCIS



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 039
1 Sep 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/ 16U112936 of 29 Aug 2016
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [REDACTED] (b)(6), (b)(7)(C) from 2007, while [REDACTED] (b)(6), (b)(7)(C) was serving as [REDACTED] (b)(6), (b)(7)(C) on board USS NIMITZ (CVN 68). I determined that a preponderance of the evidence substantiates that [REDACTED] (b)(6), (b)(7)(C) improperly accepted the gift of a bottle of wine from GDMA, was derelict in the performance of [REDACTED] (b)(6), (b)(7)(C) duties for negligently failing to prevent [REDACTED] (b)(7)(A) from soliciting improper gifts of discounted hotel lodging for others from GDMA, and patronized a prostitute while in port in Singapore. However, there was absolutely no evidence that [REDACTED] (b)(6), (b)(7)(C) took any official action on behalf of or to benefit GDMA, nor was [REDACTED] (b)(6), (b)(7)(C) requested to do so.

2. Between 19 July 2007 and 1 September 2007, [REDACTED] (b)(6), (b)(7)(C) solicited hotel rooms for senior officers at discounted rates from GDMA, a prohibited source. Although [REDACTED] (b)(6), (b)(7)(C) was not directly involved in the solicitation of the gift, [REDACTED] (b)(6), (b)(7)(C) was aware [REDACTED] (b)(6), (b)(7)(C) were soliciting discounted hotel rooms from GDMA and failed to intervene. [REDACTED] (b)(6), (b)(7)(C) unquestionably had a duty to ensure that [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) conducted their business in accordance with the applicable ethical rules. [REDACTED] (b)(6), (b)(7)(C) was derelict in the performance of that duty, and that dereliction can be attributed to neglect.

3. Additionally, and by [REDACTED] (b)(6), (b)(7)(C) own admission, [REDACTED] (b)(6), (b)(7)(C) accepted the improper gift of wine, in excess of ethical limits, from GDMA and patronized a prostitute. Therefore, these allegations are substantiated.

4. The above finding constitutes adverse information in accordance with reference (d). I addressed this personally with [REDACTED] (b)(6), (b)(7)(C) through administrative action within my authority as CDA, and consider this matter to be closed. I recommend that [REDACTED] (b)(6), (b)(7)(C) retire in [REDACTED] (b)(6), (b)(7)(C) current paygrade. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C) USN

5. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at
(b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP
NCIS
DCIS (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 038
1 Sep 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any of the allegations of misconduct against [REDACTED] (b)(6), (b)(7)(C)

[REDACTED] (b)(6), (b)(7)(C), USN, while serving as the [REDACTED] (b)(6), (b)(7)(C)

[REDACTED] (b)(6), (b)(7)(C)

2. On 20 September 2003, [REDACTED] (b)(6), (b)(7)(C) attended a lavish dinner event in Singapore that was paid for by GDMA, a prohibited source. The estimated value of the dinner event was approximately \$880 per person. I determined that none of the gift exceptions contained in reference (c) apply to this dinner event. [REDACTED] (b)(7)(A)

[REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)

evidence supports that [REDACTED] (b)(6), (b)(7)(C) had an honest and reasonable belief that [REDACTED] (b)(7)(A) and that attendance was legally permissible and [REDACTED] (b)(7)(A)

[REDACTED] (b)(7)(A) As such, I unsubstantiated the allegation of the inappropriate gift of this dinner. However, as the evidence does not show any corrective action following the dinner, I personally counseled [REDACTED] (b)(6), (b)(7)(C)

3. I also unsubstantiated other allegations against [REDACTED] (b)(6), (b)(7)(C), including that [REDACTED] (b)(6), (b)(7)(C) kissed a prostitute, received the gift of a pewter nameplate from GDMA, and that [REDACTED] (b)(6), (b)(7)(C) improperly endorsed GDMA with a letter of appreciation. There is either no evidence or very little evidence to support these allegations.

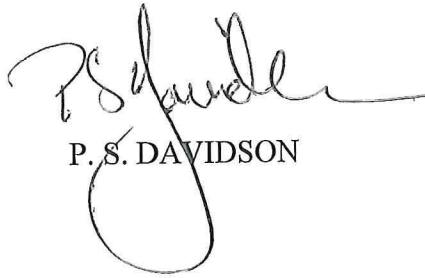
4. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received. [REDACTED] (b)(6), (b)(7)(C) is an outstanding officer who should be allowed to retire in grade.

Subj: REPORTABLE INFORMATION ICO

(b)(6), (b)(7)(C)

USN

5. The above findings constitute reportable information in accordance with reference (d). My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:

VCNO (N09BL)

CNP

NCIS

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 037
1 Sep 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence does not substantiate misconduct by [REDACTED] (b)(6), (b)(7)(C) USN, while serving as [REDACTED] (b)(6), (b)(7)(C) in 2007.

2. On 29 August 2007, [REDACTED] (b)(6), (b)(7)(C) attended a lavish dinner event in Singapore that was paid for by GDMA, a prohibited source. The estimated value of the dinner was between \$730 - \$1095 per person. Additionally, evidence provided by the Department of Justice showed that between August and September 2007, [REDACTED] (b)(7)(A) received the improper gifts of discounted hotel rooms from GDMA for [REDACTED] (b)(6), (b)(7)(C) in Hong Kong and Singapore, as well as the gift of transportation from GDMA for [REDACTED] (b)(7)(A). I determined that none of the gift exceptions contained in reference (c) apply to any of these gifts.

3. [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)
[REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)
[REDACTED] (b)(6), (b)(7)(C) the evidence supports that [REDACTED] (b)(6), (b)(7)(C) had an honest and reasonable belief that [REDACTED] (b)(7)(A) and that attendance was legally permissible and [REDACTED] (b)(7)(A). As such, I determined it would be inappropriate to substantiate misconduct by [REDACTED] (b)(6), (b)(7)(C) in regard to this dinner.

4. I further determined there was insufficient evidence to substantiate allegations that [REDACTED] (b)(6), (b)(7)(C) accepted the gifts of discounted hotel rooms and transportation from GDMA.

[REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)
[REDACTED] (b)(7)(A) Moreover, GDMA engaged in deceptive practices [REDACTED] (b)(7)(A)
[REDACTED] (b)(7)(A)

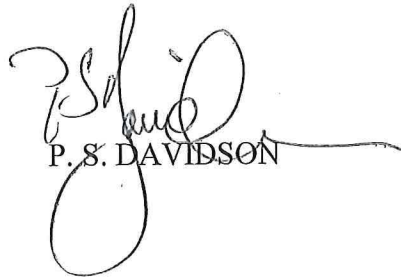
Additionally, there is no evidence to support that [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(7)(A) the transportation for [REDACTED] (b)(6), (b)(7)(C) was a gift from GDMA. As such, it would be inappropriate to substantiate these allegations.

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(C) USN

5. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gifts received.

6. I personally counseled (b)(6), (b)(7)(C) on this matter, required (b)(6), (b)(7)(C) to receive standards of conduct training, and consider this matter to be closed. (b)(6), (b)(7)(C) continues to be a significant contributor and valued officer in the Navy.

7. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
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NCIS (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/33
31 August 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against (b)(6), (b)(7)(C) USN, while serving as the (b)(6), (b)(7)(C) during a port visit in Singapore in 2003.

2. On or about 9 October 2003, (b)(6), (b)(7)(C) attended a lavish dinner event in Singapore with a market value in excess of ethical limits that was paid for by GDMA, a prohibited source. I determined that none of the gift exceptions contained in reference (c) apply to this dinner event.

3. Based on all the facts and circumstances, I determined that (b)(6), (b)(7)(C) held an honest and reasonable belief that (b)(6), (b)(7)(C) attendance at the dinner was (b)(7)(A) and accordingly, that it was ethically permissible for (b)(6), (b)(7)(C) to attend. Based on (b)(7)(A) As such, I determined that it would be inappropriate to substantiate misconduct against (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) continues to be a significant contributor and valued officer in the Navy.

4. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received. My point of contact for this matter is (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) @navy.mil.

P. S. DAVIDSON

Copy to:
VCNO (N09BL)
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DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/032
24 Aug 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence substantiates that (b)(6), (b)(7)(C) accepted improper gifts of flowers, a Royal Selangor pewter tankard and a vase from GDMA, a defense contractor and prohibited source. However, there was absolutely no evidence that (b)(6), (b)(7)(C) took any official action on behalf of, or to benefit, GDMA, nor was (b)(7)(C) requested to do so.

2. On or about 20 September 2003, (b)(6), (b)(7)(C) attended a dinner event in Singapore with a market value in excess of ethical limits that was paid for by GDMA, a prohibited source. I determined that none of the gift exceptions contained in reference (c) apply to this dinner event. However, based on all the facts and circumstances, I also determined that (b)(6), (b)(7)(C) held an honest and reasonable belief that (b)(6), (b)(7)(C) attendance at the dinner was (b)(7)(A). Further, (b)(6), (b)(7)(C) (b)(7)(A). As such, I determined that it would be inappropriate to substantiate misconduct against (b)(6), (b)(7)(C) in regards to (b)(6), (b)(7)(C) attendance at this dinner event.

3. However, following the dinner event, (b)(6), (b)(7)(C) accepted gifts of flowers, a pewter tankard and vase directly from Mr. Leonard Francis, President of GDMA. I determined that none of the gift exceptions contained in reference (c) applied to these gifts, even considering a unique and bona fide connection that existed between (b)(6) whereby (b)(6). Although the value of the items was minor, not substantial in light of GDMA's past lavish gift practices, their acceptance constituted a technical violation of reference (c).

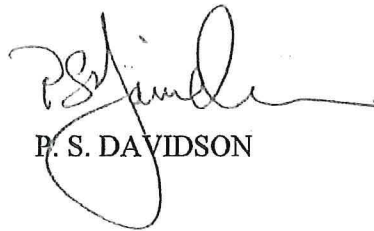
Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C) USN

4. The above finding constitutes adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events and place them in the proper perspective. I determined that there are other significant mitigating factors, including:

(b)(6), (b)(7)(A), (b)(7)(C)

5. I addressed this personally with (b)(6), (b)(7)(C) through counseling and consider this matter to be closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gifts received. (b)(6), (b)(7)(C) is an outstanding officer who should be allowed to retire in grade.

6. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:

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(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800

Ser CDA/028

4 Aug 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [REDACTED] from 2007, while [REDACTED] was serving as [REDACTED] USS NIMITZ (CVN 68). I determined that a preponderance of the evidence substantiates that [REDACTED] accepted an improper gift of a dinner event from GDMA, a defense contractor and prohibited source. However, there was absolutely no evidence that [REDACTED] took any official action on behalf of or to benefit GDMA, nor was [REDACTED] requested to do so.

2. On 29 August 2007, [REDACTED] attended a dinner event in Singapore that was paid for by GDMA. The value of the dinner was in excess of ethical limits (estimated value of at least \$730 per person) and none of the gift exceptions in reference (c) applied. I did determine that there was mitigating evidence in that the [REDACTED] and attendance was permissible. However, the evidence also established that [REDACTED] had access to a staff judge advocate [REDACTED] was familiar with GDMA and husbanding agents, had received ethics training, knew – at the time – that acceptance of gifts from GDMA was not permissible, and that [REDACTED] took no remedial action following this dinner.

3. The above finding constitutes adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events and place them in the proper perspective. I determined that there are other significant mitigating factors, including:

- [REDACTED]
- [REDACTED]
- [REDACTED]
- [REDACTED]

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C) USN

4. I addressed this personally with (b)(6), (b)(7)(C) through administrative action within my authority as CDA, and consider this matter to be closed. (b)(6), (b)(7)(C) continues to be a significant contributor and valued senior leader in the Navy.

5. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) @navy.mil.



P.S. DAVIDSON

Copy to:
VCNO (N09BL)
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NCIS
DCIS (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/026
1 July 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(C) USN

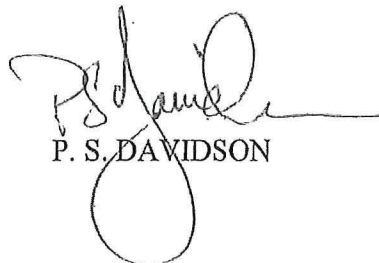
Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against (b)(6), (b)(7)(C) USN, while serving as (b)(6), (b)(7)(C) during a port visit in Singapore in 2003.

2. On or about 20 September 2003, (b)(6), (b)(7)(C) attended a lavish dinner event in Singapore with a market value in excess of ethical limits that was paid for by GDMA, a prohibited source. I determined that none of the gift exceptions contained in reference (c) apply to this dinner event.

3. Based on all the facts and circumstances, I determined that (b)(6), (b)(7)(C) held an honest and reasonable belief that (b)(6), (b)(7)(C) attendance at the dinner was (b)(7)(A) and accordingly, that it was ethically permissible for (b)(6), (b)(7)(C) to attend. Based on (b)(7)(A) As such, I determined that it would be inappropriate to substantiate misconduct against (b)(6), (b)(7)(C)

4. The above findings constitute reportable information in accordance with reference (d). My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
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NCIS (b)(6), (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/024
27 June 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: SUBSTANTIATED DETERMINATION ICO (b)(6), (b)(7)(C)
USN, IN THE GLENN DEFENSE MARINE ASIA (GDMA) MATTER

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) DoD 5500.07-R (The Joint Ethics Regulation), subsection 1-300
(d) 5 C.F.R. § 2635

1. As the consolidated disposition authority (CDA) for the subject matter per references (a) and (b), I reviewed evidence of potential misconduct against (b)(6), (b)(7)(C) from 2013 while (b)(6), (b)(7)(C) was serving aboard USS BLUE RIDGE (LCC 19).

2. I determined that a preponderance of the evidence substantiates that (b)(6), (b)(7)(C) displayed poor judgment in accepting discounted hotel accommodations and transportation from GDMA, a prohibited source, on several occasions. Specifically, I substantiated that (b)(6), (b)(7)(C) between June and August of 2013, improperly accepted discounted hotel accommodations in Kuala Lumpur, Malaysia; Jakarta, Indonesia; Darwin, Australia; and Busan, South Korea; as well as transportation in Kuala Lumpur and Busan. I determined that acceptance of these gifts was a violation of reference (c), and none of the gift exceptions in reference (d) applied.

3. While the allegations set forth above are substantiated, it is important to place them in proper context. (b)(6), (b)(7)(C) received these unsolicited benefits through (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) (b)(7)(A) Additionally, I note that there is absolutely no evidence that (b)(6), (b)(7)(C) ever provided any information to GDMA, took any official action for or to benefit GDMA, or had any type of relationship with GDMA.

(b)(6), (b)(7)(C)

4. I personally counseled (b)(6), (b)(7)(C) on these issues and consider this matter to be closed.

5. My point of contact is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) @navy.mil.

P. S. DAVIDSON

Subj: SUBSTANTIATED DETERMINATION ICO [REDACTED] (b)(6), (b)(7)(C)
USN, IN THE GLENN DEFENSE MARINE ASIA (GDMA) MATTER

Copy to:

VCNO (N09BL)

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[REDACTED] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/023
27 June 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: SUBSTANTIATED DETERMINATION ICO (b)(6), (b)(7)(C) USN, IN THE
GLENN DEFENSE MARINE ASIA (GDMA) MATTER

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) DoD 5500.07-R (The Joint Ethics Regulation), subsection 1-300
(d) 5 C.F.R. § 2635

1. As the consolidated disposition authority (CDA) for the subject matter per references (a) and (b), I reviewed evidence of potential misconduct against (b)(6), (b)(7)(C) from 2013, while (b)(6), (b)(7)(C) was serving aboard USS BLUE RIDGE (LCC 19).

2. I determined that a preponderance of the evidence substantiates that (b)(6), (b)(7)(C) displayed poor judgment in accepting discounted hotel accommodations and transportation from GDMA, a prohibited source, in June and July of 2013, by improperly accepting discounted hotel accommodations and transportation in Kuala Lumpur, Malaysia and Jakarta, Indonesia; and discounted hotel accommodations in Darwin, Australia. I determined that acceptance of these gifts was a violation of reference (c), and none of the gift exceptions in reference (d) applied.

3. While the allegations set forth above are substantiated, it is important to place them in proper context. (b)(6), (b)(7)(C) received these unsolicited benefits through (b)(7)(A) (b)(7)(A) (b)(7)(A). Additionally, I note that there is absolutely no evidence that (b)(6), (b)(7)(C) ever provided any information to GDMA, took any official action for or to benefit GDMA, or had any type of relationship with GDMA. (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

4. I personally counseled (b)(6), (b)(7)(C) on these issues, required (b)(6), (b)(7)(C) to complete ethics training with my Staff Judge Advocate, and consider this matter to be closed. (b)(6), (b)(7)(C) should be allowed to continue serving in the Navy and this finding should not be used to impact any future advancements or career progression opportunities.

5. My point of contact is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) (b)(6), (b)(7)(C)@navy.mil.

P. S. DAVIDSON

Subj: SUBSTANTIATED DETERMINATION ICO (b)(6), (b)(7)(C) USN, IN THE
GLENN DEFENSE MARINE ASIA (GDMA) MATTER

Copy to:

VCNO (N09BL)

NCIS

DCIS

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/022
27 June 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: SUBSTANTIATED DETERMINATION ICO (b)(6), (b)(7)(C) USN, IN THE
GLENN DEFENSE MARINE ASIA (GDMA) MATTER

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) DoD 5500.07-R (The Joint Ethics Regulation), subsection 1-300
(d) 5 C.F.R. § 2635

1. As the consolidated disposition authority (CDA) for the subject matter per references (a) and (b), I reviewed evidence of potential misconduct against (b)(6), (b)(7)(C) from 2013, while (b)(6), (b)(7)(C) was serving aboard USS BLUE RIDGE (LCC 19).

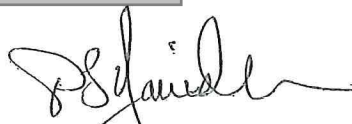
2. I determined that a preponderance of the evidence substantiates that (b)(6), (b)(7)(C) displayed poor judgment in accepting discounted hotel accommodations and transportation from GDMA, a prohibited source, on several occasions. Specifically, I substantiated that (b)(6), (b)(7)(C) in June 2013, improperly accepted discounted hotel accommodations and transportation in Kuala Lumpur, Malaysia and Jakarta, Indonesia. I determined that acceptance of these gifts was a violation of reference (c), and that none of the gift exceptions in reference (d) applied.

3. While the allegations set forth above are substantiated, it is important to place them in proper context. (b)(6), (b)(7)(C) received these unsolicited benefits through (b)(7)(A)

(b)(7)(A) Additionally, I note that there is absolutely no evidence that (b)(6), (b)(7)(C) ever provided any information to GDMA, took any official action for or to benefit GDMA, or had any type of relationship with GDMA. (b)(6), (b)(7)(C)

4. I personally counseled (b)(6), (b)(7)(C) on these issues, required (b)(6), (b)(7)(C) to complete ethics training with my Staff Judge Advocate, and consider this matter to be closed. (b)(6), (b)(7)(C) should be allowed to continue serving in the Navy and this finding should not be used to impact any future advancements or career progression opportunities.

5. My point of contact is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Subj: SUBSTANTIATED DETERMINATION ICO (b)(6), (b)(7)(C) USN, IN THE
GLENN DEFENSE MARINE ASIA (GDMA) MATTER

Copy to:

VCNO (N09BL)

NCIS

DCIS

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/017
22 June 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence does not substantiate misconduct by (b)(6), (b)(7)(C) USN, while serving as (b)(6), (b)(7)(C) to (b)(6), (b)(7)(C) in 2007.

2. The evidence provided by the Department of Justice shows that in July and August 2007, (b)(6), (b)(7)(C) worked directly with representatives of GDMA to arrange hotel rooms for port visits in Hong Kong and Singapore for a group of senior officers, including (b)(6), (b)(7)(C) as (b)(6), (b)(7)(C). The evidence shows that the hotel rooms were discounted by GDMA and none of the gift exceptions contained in reference (c) applied.

3. I determined there was insufficient evidence to establish that (b)(6), (b)(7)(C) conduct was wrongful, as (b)(6), (b)(7)(C) was a (b)(6), (b)(7)(C) (b)(7)(A) in the representatives (b)(6), (b)(7)(C) and conducted (b)(6), (b)(7)(C) interactions with GDMA USS NIMITZ (CVN 68) (b)(6), (b)(7)(C) representatives with full transparency of the NIMITZ (b)(6), (b)(7)(C). It is clear that (b)(6), (b)(7)(C) believed at the time that such action was appropriate and consistent with standard procedures. Moreover, GDMA engaged in deceptive practices in this case (b)(6), (b)(7)(A), (b)(7)(C).

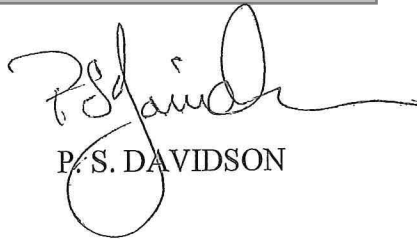
(b)(7)(A) (b)(7)(A)
(b)(7)(A) Additionally, (b)(6), (b)(7)(C) was fully cooperative and forthcoming in multiple interactions with investigators. As such, I determined that it would be inappropriate to substantiate misconduct by (b)(6), (b)(7)(C)

4. The above findings constitute reportable information in accordance with reference (d).

5. I personally counseled (b)(6), (b)(7)(C) on this matter, required (b)(6), (b)(7)(C) to receive standards of conduct training, and consider this matter to be closed. (b)(6), (b)(7)(C) continues to be a significant contributor and valued officer in the Navy.

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

6. My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C) may be reached at [REDACTED] (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
DCIS
NCIS [REDACTED] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/007
23 March 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [REDACTED] (b)(6), (b)(7)(C) from 2007, while [REDACTED] (b)(6), (b)(7)(C) was serving as [REDACTED] (b)(6), (b)(7)(C). I determined that a preponderance of the evidence substantiates that [REDACTED] (b)(6), (b)(7)(C) displayed poor judgment in accepting an improper gift of a dinner event from GDMA, a defense contractor and prohibited source. However, there was absolutely no evidence that [REDACTED] (b)(6), (b)(7)(C) took any official action on behalf of or to benefit GDMA, nor was [REDACTED] (b)(6), (b)(7)(C) requested to do so.

2. On 29 August 2007, [REDACTED] (b)(6), (b)(7)(C) attended a dinner event in Singapore that was paid for by GDMA. The value of the dinner was in excess of ethical limits (estimated value of at least \$730 per person) and none of the gift exceptions in reference (c) applied. I did determine that there was mitigating evidence in that [REDACTED] (b)(7)(A).

[REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) and attendance was permissible. However, the evidence also established that [REDACTED] (b)(6), (b)(7)(C) had an assigned staff judge advocate, had received ethics training, knew – at the time – that acceptance of gifts from GDMA was not permissible, and that [REDACTED] (b)(6), (b)(7)(C) took no remedial action following this dinner.

3. The above finding constitutes adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events and place them in the proper perspective. I have determined that there are other significant mitigating factors, including:

-
-
-
-

[REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)


Subj: ADVERSE INFORMATION ICO

(b)(6), (b)(7)(C)

USN

4. I addressed this personally with (b)(6), (b)(7)(C) through administrative action¹ within my authority as CDA, and consider this matter to be closed. (b)(6), (b)(7)(C) continues to be a significant contributor and valued senior leader in the Navy.

5. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:

VCNO (N09BL)

CNP

NCIS

(b)(6), (b)(7)(C)

¹ See R.C.M 306(c)(2). Administrative actions include corrective measures such as counseling, admonition, reprimand, exhortation, disapproval, criticism, censure, reproach, rebuke, extra military instruction, or the administrative withholding of privileges, or any combination of the above.



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/005
14 March 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: DETERMINATION ICO (b)(6), (b)(7)(C) USN, IN THE GLENN DEFENSE
MARINE ASIA (GDMA) MATTER

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015

1. As the consolidated disposition authority (CDA) for the subject matter per references (a) and (b), I determined that all allegations against (b)(6), (b)(7)(C) USN, are unsubstantiated.
2. The CDA reviewed allegations involving (b)(6), (b)(7)(C) relating to three hotel stays and a van rental paid for by GDMA, and all arranged by (b)(7)(A).
(b)(7)(A)
3. (b)(6), (b)(7)(C) claimed in (b)(6), (b)(7)(C) and other enlisted members, stayed with (b)(6), (b)(7)(C) in hotel rooms paid for by GDMA in Sepangar, Malaysia in April 2010; in Laem Chabang, Thailand in April 2010; and in Hong Kong in February 2011. (b)(6), (b)(7)(C) denies ever staying at a hotel on any port visit in Malaysia, and there is no other evidence establishing that (b)(6), (b)(7)(C) did. (b)(6), (b)(7)(C) admits to staying with (b)(6), (b)(7)(C) in Laem Chabang, but claims that each of the six members staying there contributed to the cost of the hotel room. Notably, (b)(6), (b)(7)(C) who knew GDMA was paying for the room, collected the contributions from his shipmates and presumably pocketed the cash, suggesting that he was motivated to keep the actual costs and funding source a secret. (b)(6), (b)(7)(C) admits to visiting friends in a hotel room in Hong Kong, but says he never stayed overnight or slept there and was not asked to contribute money for the room – no other evidence on this point exists. Accordingly, I determined that there was insufficient evidence to conclude by a preponderance of evidence that (b)(6), (b)(7)(C) knew that (b)(6), (b)(7)(C) was receiving a gift in regards to these hotel rooms or that the hotel rooms were paid for by GDMA.
4. The CDA also reviewed an allegation that (b)(6), (b)(7)(C) arranged a van for (b)(6), (b)(7)(C) in Manila, Philippines in 2012, which may have been paid for by GDMA. However, the only evidence of this is an email chain which does not indicate what, if anything, ever came of (b)(6), (b)(7)(C) request for assistance from GDMA in procuring a van. (b)(6), (b)(7)(C) admits to renting a van in Manila with (b)(6), (b)(7)(C) help, but indicates (b)(6), (b)(7)(C) paid \$150 for it, and there is no other evidence regarding this van rental, its cost or who paid for it. Therefore, I concluded that there is insufficient evidence to substantiate this allegation.
5. Although the evidence was insufficient to establish wrongdoing, I did personally counsel (b)(6), (b)(7)(C) on responsibilities as a (b)(6), (b)(7)(C) and caution (b)(6), (b)(7)(C) on how close (b)(6), (b)(7)(C) was to criminal activity given (b)(6), (b)(7)(C) relationship with (b)(6), (b)(7)(C).

Subj: DETERMINATION ICO [REDACTED] (b)(6), (b)(7)(C) USN, IN THE GLENN DEFENSE
MARINE ASIA (GDMA) MATTER

6. My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C) may be reached at
[REDACTED] (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
NCIS [REDACTED] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/004
14 March 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

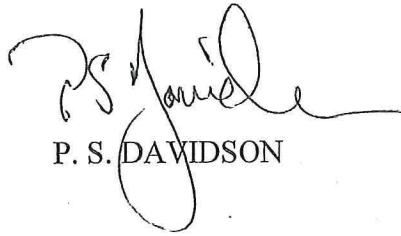
Subj: DETERMINATION ICO (b)(6), (b)(7)(C) USN, IN THE GLENN
DEFENSE MARINE ASIA (GDMA) MATTER

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015

1. As the consolidated disposition authority (CDA) for the subject matter per references (a) and (b), I have determined that all allegations against (b)(6), (b)(7)(C) USN, are unsubstantiated.
2. In June 2013, the USS BLUE RIDGE (LCC 19) made a port visit to Kuala Lumpur, Malaysia. (b)(6), (b)(7)(C) accepted an invitation from another enlisted member serving on BLUE RIDGE, (b)(6), (b)(7)(C) to join with him and two other enlisted members to stay in a hotel ashore. (b)(6), (b)(7)(C) was asked to contribute cash and did so in the amount of \$450, which (b)(6), (b)(7)(C) believed was (b)(6), (b)(7)(C) share of the costs for the hotel bill and van transportation between the ship and the hotel. The hotel room was actually paid for by GDMA, and had been arranged previously by (b)(6), (b)(7)(C) who has already pled guilty to conspiracy to commit bribery and been criminally sentenced in this matter. While (b)(6), (b)(7)(C) did stay in the hotel room, there is no evidence that (b)(6), (b)(7)(C) had knowledge or any reason to believe that it was financed by GDMA.
3. The evidence indicates that the hotel and transportation were arranged by (b)(6), (b)(7)(C) without (b)(6), (b)(7)(C) ever having knowledge of the true nature of those arrangements. (b)(6), (b)(7)(C) came to BLUE RIDGE after (b)(6), (b)(7)(C) had transferred, and (b)(6), (b)(7)(C) was not copied on any of the known emails or Facebook messages regarding the arrangements. Moreover, none of the other members claim to have said anything about the arrangement to (b)(6), (b)(7)(C). In fact, one member characterized (b)(6), (b)(7)(C) as "an innocent bystander" who "just got caught up" in the other members' plans. The fact that the other members requested payment from (b)(6), (b)(7)(C) for (b)(6), (b)(7)(C) "share" of the costs, which (b)(6), (b)(7)(C) paid, supports the conclusion that (b)(6), (b)(7)(C) was unaware of the funding source, and that (b)(6), (b)(7)(C) had a reasonable belief that (b)(6), (b)(7)(C) was paying (b)(6), (b)(7)(C) share of fair market value for the hotel room and van transportation. As such, I determined it would be inappropriate to substantiate misconduct by (b)(6), (b)(7)(C). However, I personally counseled (b)(6), (b)(7)(C) regarding judgment and leadership, as this liberty incident did involve participation in a group hotel stay with two first class petty officers.

Subj: DETERMINATION ICO (b)(6), (b)(7)(C) USN, IN THE GLENN
DEFENSE MARINE ASIA (GDMA) MATTER

4. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at
(b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
NCIS (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/002
1 March 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

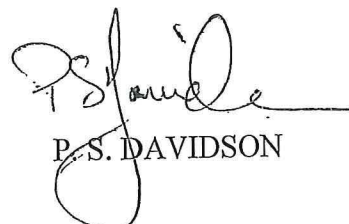
Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any of the allegations of misconduct against [REDACTED] (b)(6), (b)(7)(C) USN, while serving as the [REDACTED] (b)(6), (b)(7)(C)

2. On 29 August 2007, [REDACTED] (b)(6), (b)(7)(C) attended a lavish dinner event in Singapore that was paid for by GDMA, a prohibited source. The estimated value of the dinner was approximately between \$730 - \$1095 per person. I determined that none of the gift exceptions contained in reference (c) apply to this dinner event. There was insufficient evidence to support any other allegation against [REDACTED] (b)(6), (b)(7)(C)

3. Because the [REDACTED] (b)(7)(A) [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) the evidence supports that [REDACTED] (b)(6), (b)(7)(C) had an honest and reasonable belief that the [REDACTED] (b)(7)(A) and that attendance was legally permissible and [REDACTED] (b)(7)(A) As such, I determined it would be inappropriate to substantiate misconduct by [REDACTED] (b)(6), (b)(7)(C) in regard to this dinner. However, as the evidence does not show any corrective action following the dinner, I personally counseled [REDACTED] (b)(6), (b)(7)(C) and required [REDACTED] (b)(6), (b)(7)(C) to complete additional ethics training on the principles of ethical conduct and gifts from outside sources.

4. The above findings constitute reportable information in accordance with reference (d). My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C) may be reached at [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Subj: REPORTABLE INFORMATION ICO

(b)(6), (b)(7)(C)

USN

Copy to:

VCNO (N09BL)

CNP

NCIS

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/031
17 Aug 2016

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that between on or about 19 July and 1 September 2007, while you were serving as the (b)(6), (b)(7)(C) USS NIMITZ (CVN 68):

- You solicited gifts from GDMA, a prohibited source; specifically, gifts of discounted hotel lodging for others in Hong Kong and Singapore; and
- You improperly failed to disqualify yourself from participating in the procurement of and payment for services from GDMA during port visits to Hong Kong and Singapore while you were seeking employment with GDMA.

If these ethical violations are found to have occurred, the CDA will take any administrative measures deemed to be appropriate in light of the nature of the violations, any mitigating and/or aggravating factors, and your current duty status.

This is your opportunity to provide comments, additional information, or necessary context. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than Thursday, 25 August 2016. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @navy.mil.

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

*This copy was sent
at this date.*

5800
Ser CDA' /52
5 Dec 2016

(b)(6), (b)(7)(C)

Dear

(b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that on or about September 2003, while you were serving as the (b)(6), (b)(7)(C) USS NIMITZ (CVN 68):

- You received an item of monetary value from GDMA, a prohibited source; specifically, a pewter "Kris", with a market value in excess of ethical limits, which you received in Singapore.

Based on our review of information provided by the Defense Criminal Investigative Service (DCIS), we believe that a *Kris* is a knife and that this item was one of the "mementos" that you referenced in an email to Leonard Francis on or about 29 September 2003. This is your opportunity to provide comments, additional information, or necessary context that may be helpful in our review of this matter. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than 6 January 2016. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @navy.mil.

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/042
16 Sep 16

From: Commander, United States Fleet Forces Command
To: File

Subj: ADDITIONAL INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) CUSFF ltr 5800 Ser CDA/002 of 1 Mar 16

1. Reference (a) is the original disposition report of reportable findings regarding [REDACTED] (b)(6), (b)(7)(C).
[REDACTED] (b)(6), (b)(7)(C) In addition to what was provided in reference (a), there is no evidence that [REDACTED] (b)(6), (b)(7)(C)
[REDACTED] (b)(6), (b)(7)(C) provided any money to any party, including GDMA, for, or in connection with, the
29 August 2007 dinner.

2. My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C) may be reached at
[REDACTED] (b)(6), (b)(7)(C) @navy.mil.

[REDACTED] (b)(6), (b)(7)(C)

By direction



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/030
17 Aug 2016

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that while you were serving as the (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) USS NIMITZ (CVN 68):

- Between on or about 1 January and 21 July 2007, you received the gift of a bottle of wine from GDMA, a prohibited source;
- Between on or about 6 August and 1 September 2007, you solicited gifts of discounted hotel lodging for other officers in Singapore from GDMA;
- Between on or about 25 August and 1 September 2007, you received the gift of a brunch in Singapore from GDMA; and
- A (b)(6), (b)(7)(C) solicited improper gifts of discounted hotel lodging for other officers in Hong Kong from GDMA.

This is your opportunity to provide comments, additional information, or necessary context. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than Thursday, 25 August 2016. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) [@navy.mil](mailto: @navy.mil).

Sincerely

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/029
17 Aug 2016

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that between on or about 19 July and 1 September 2007, while you were serving as the (b)(6), (b)(7)(C) USS NIMITZ (CVN 68):

- You received an item of monetary value from GDMA, a prohibited source; specifically, a bottle of wine, which you received in Singapore;
- (b)(6), (b)(7)(C) solicited improper gifts of discounted hotel lodging for other officers in Hong Kong and Singapore from GDMA; and
- You patronized the services of a prostitute in Singapore.

This is your opportunity to provide comments, additional information, or necessary context. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than Thursday, 25 August 2016. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) or

(b)(6), (b)(7)(C) [@navy.mil](mailto: @navy.mil).

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/025
30 Jun 2016

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that you, while serving as the (b)(6), (b)(7)(C) of USS DECATUR (DDG 73), received items of monetary value from GDMA, a prohibited source; specifically:

- On 20 September 2003, the gift of a dinner event in Singapore, in excess of ethical limits; and
- On or about 21 September 2003, the gifts of flowers and a pewter vase, a pewter tankard, and a name plate, in excess of ethical limits.

This is your opportunity to provide comments, additional information, or necessary context. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than 10 days from the date of this letter. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C)

or (b)(6), (b)(7)(C) [@navy.mil](mailto:navy.mil).

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/015
5 May 2016

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that you, while serving on USS BLUE RIDGE (LCC 19) received items of monetary value from GDMA, a prohibited source; specifically:

- Between on or about 3 June and 7 June 2013, the gifts of discounted hotel accommodations and transportation in Kuala Lumpur;
- Between on or about 14 and 18 June 2013, the gifts of discounted hotel accommodations and transportation in Jakarta; and
- Between on or about 29 June and 5 July 2013, the gift discounted of hotel accommodations in Darwin.

This is your opportunity to provide comments, additional information, or necessary context. Please know that your response is completely voluntary and you are free to consult with legal counsel if you so desire. There is a Navy Defense Service Office located in Yokosuka. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than 10 days from the date of this letter. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C)

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/014
5 May 2016

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that you, while serving on USS BLUE RIDGE (LCC 19) received items of monetary value from GDMA, a prohibited source; specifically:

- Between on or about 3 June and 7 June 2013, the gifts of discounted hotel accommodations and transportation in Kuala Lumpur; and
- Between on or about 14 and 18 June 2013, the gifts of discounted hotel accommodations and transportation in Jakarta.

This is your opportunity to provide comments, additional information, or necessary context. Please know that your response is completely voluntary and you are free to consult with legal counsel if you so desire. There is a Navy Defense Service Office located in San Diego. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than 10 days from the date of this letter. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C)

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/013
5 May 2016

(b)(6), (b)(7)(C)

Dear

(b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that you, while serving on USS BLUE RIDGE (LCC 19) received items of monetary value from GDMA, a prohibited source; specifically:

- Between on or about 3 June and 7 June 2013, the gifts of discounted hotel accommodations and transportation in Kuala Lumpur;
- Between on or about 14 and 18 June 2013, the gift of discounted hotel accommodations in Jakarta;
- Between on or about 29 June and 5 July 2013, the gift of discounted hotel accommodations in Darwin; and
- Between on or about 23 August and 25 August 2013, the gifts of discounted hotel accommodations and transportation in Busan.

This is your opportunity to provide comments, additional information, or necessary context. Please know that your response is completely voluntary and you are free to consult with legal counsel if you so desire. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than 10 days from the date of this letter. Should you have any questions or need additional time, please contact

(b)(6), (b)(7)(C)

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/008
25 April 2016

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that you, while serving as the (b)(6), (b)(7)(C) USS NIMITZ (CVN-68) and as the (b)(6), (b)(7)(C) of USS TARAWA (LHA-1), received items of monetary value from GDMA, a prohibited source; specifically:

- On 29 August 2007, the gift of a dinner event in Singapore, in excess of ethical limits;
- In August – September 2007, the gift of discounted hotel rooms in Hong Kong and Singapore;
- The gift of a discounted ship model of the USS NIMITZ; and
- The gift of a discounted ship model of the USS TARAWA.

This is your opportunity to provide comments, additional information, or necessary context. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than 10 days from the date of this letter. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C)

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

5800
Ser CDA/003
1 Mar 16

(b)(6), (b)(7)(C)

Dear

(b)(6), (b)(7)(C)

The Glen Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that you, while serving as (b)(6), (b)(7)(C) of USS NIMITZ (CVN-68), received several items of monetary value from GDMA, a prohibited source, during the NIMITZ deployment in 2007. Specifically:

- On or about 29 August 2007, the gift of a dinner event for you (b)(6), (b)(7)(C) in Singapore, with a market value of approximately \$1,000 per person;
- In August-September 2007, the gift of discounted hotel rooms for you (b)(6), (b)(7)(C) in Hong Kong and Singapore.

This is your opportunity to provide comments, additional information, or necessary context. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than 15 days from the date of this letter. Should you have any questions or need additional time, please contact

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/001
27 Jan 16

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glen Defense Marine Asia (GDMA) Consolidated Disposition Authority Legal Staff has reviewed credible evidence that you, while serving as the (b)(6), (b)(7)(C) of USS NIMITZ (CVN-68), received a number of items of monetary value from GDMA, a prohibited source, during the NIMITZ deployment in 2007; specifically:

- On or about 29 August 2007, the gift of a dinner event for you and (b)(6), (b)(7)(C) in Singapore, with a market value of approximately \$1000 per person;
- In August- September 2007, the gift of discounted hotel rooms in Hong Kong and Singapore; and
- The gift of a discounted ship model with a fair market value of approximately \$1000.

This is your opportunity to provide comments, additional information, or necessary context. Should you choose to respond to this letter, the GDMA Consolidated Disposition Authority (CDA) will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than 10 days from the date of this letter. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C)

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/49
21 Oct 16

From: Commander, United States Fleet Forces Command
To: Assistant Commandant of the Marine Corps

Subj: RECOMMENDATION ICO MEMBERS IN ATTENDANCE AT 20 SEPTEMBER
2003 SINGAPORE DINNER HOSTED BY GDMA

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I am charged with forwarding factual findings and a non-binding recommendation to you for disposition as you deem appropriate in any case involving Marine Corps personnel. Based on a thorough review and legal analysis, I determined that a preponderance of the evidence does not substantiate any allegations of misconduct against a number of individuals who were in attendance at a dinner hosted by GDMA during an Expeditionary Strike Group ONE port visit to Singapore in September 2003.

2. On or about 20 September 2003, (b)(6), (b)(7)(C) USMC, (b)(6), (b)(7)(C) USMC, and (b)(6), (b)(7)(C) USMC, attended a lavish dinner event in Singapore with a market value in excess of ethical limits that was paid for by GDMA, a prohibited source. I determined that none of the gift exceptions contained in reference (c) apply to this dinner event.

3. Based on all the facts and circumstances, I determined that the individuals named above who did attend the dinner held an honest and reasonable belief that their attendance at the dinner was

(b)(7)(A) (b)(6), (b)(7)(C) and accordingly, that it was ethically permissible for them to attend. (b)(7)(A)

(b)(7)(A) As such, I determined that it would be inappropriate to substantiate misconduct against any of the above listed individuals.

4. There is no evidence that any of the individuals listed above provided any money to any party, including GDMA, for, or in connection with, the 20 September 2003 dinner. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

5. The above findings constitute reportable information in accordance with reference (d). I recommend you take no administrative action with respect to the subject case; however, I do recommend ethics training for (b)(6), (b)(7)(C) who remains on active duty. My point of contact for

Subj: REPORTABLE INFORMATION ICO MEMBERS IN ATTENDANCE AT 20
SEPTEMBER 2003 SINGAPORE DINNER HOSTED BY GDMA

this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) or
(b)(6), (b)(7)(C)@navy.mil.



P. S. DAVIDSON



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/011
4 May 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) while (b)(6), (b)(7)(C) was serving as (b)(6), (b)(7)(C) of Carrier Strike Group ONE in 2011. Based on a preponderance of evidence, I substantiated that (b)(6), (b)(7)(C) wrongfully:

- 1) Accepted discounted hotel accommodations from GDMA on three separate occasions;
- 2) Disclosed FOUO information (U.S. Navy Flag Roster) to Mr. Leonard Francis/President of GDMA;
- 3) Conducted (b)(6), (b)(7)(C) in a manner unbecoming an officer (b)(6), (b)(7)(C) in (b)(6), (b)(7)(C) interactions with Mr. Francis and GDMA.
- 4) Accepted the gift of alcohol and appetizers in Mr. Francis's hotel suite in Kuala Lumpur; and
- 5) Accepted the gift of a private dinner with Mr. Francis at a hotel restaurant in Hong Kong.

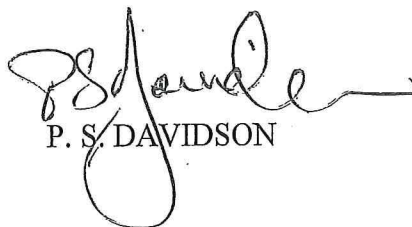
2. Between 22 January 2011 and 24 May 2011, (b)(6), (b)(7)(C) accepted the gift of discounted hotel lodging on three different occasions, with the discount being paid for by GDMA. The total value of the discounts was approximately \$3040.00, and none of the gift exceptions in reference (c) applied. While (b)(6), (b)(7)(C) did not make the hotel reservations directly, (b)(6), (b)(7)(C) was aware that GDMA was booking the rooms, that the rooms were deeply discounted, and that the discounts were provided by GDMA and not generally available to others.

3. On 29 July 2011, (b)(6), (b)(7)(C) sent the July 2011 Navy Flag Officer roster via e-mail to Mr. Francis upon his request for information regarding senior officers of an incoming strike group. The roster was clearly marked "For Official Use Only," and it contained the professional contact information for every Flag Officer in the Navy, along with the names of their spouses. (b)(6), (b)(7)(C) requested that Mr. Francis keep the roster "close hold" which shows (b)(6), (b)(7)(C) was aware of the wrongfulness of his actions. This was a violation Department of the Navy Information Security Program Instruction (SECNAVINST 5510.36A).

4. (b)(6), (b)(7)(C) inappropriately socialized directly with Mr. Francis on numerous occasions despite warnings from the staff judge advocate to avoid interactions with Mr. Francis and GDMA. On at least two occasions (b)(6), (b)(7)(C) spent time drinking and partying in Mr. Francis's hotel suite while women (b)(6), (b)(7)(C) believed to be prostitutes were present, and on at least one occasion admitted to taking one of the women back to (b)(6), (b)(7)(C) room and receiving a back massage from her (b)(6), (b)(7)(C) also admitted to accepting gifts of alcohol and appetizers during the parties in Mr. Francis's hotel suite. During a port visit to Hong Kong, (b)(6), (b)(7)(C) assisted Mr. Francis in setting up a private cocktail hour with the (b)(6), (b)(7)(C) after which (b)(6), (b)(7)(C) accepted the gift of a dinner paid for by Mr. Francis at a hotel restaurant. Overall, (b)(6), (b)(7)(C) failed to live up the standards expected of an officer of (b)(6), (b)(7)(C) rank and experience during (b)(6), (b)(7)(C) interactions with Mr. Francis and GDMA. Taken as a whole, (b)(6), (b)(7)(C) conduct constituted conduct unbecoming an officer (b)(6), (b)(7)(C)

5. The above findings constitute adverse information in accordance with reference (d). I addressed these issues with (b)(6), (b)(7)(C) at Admiral's Mast and imposed nonjudicial punishment in the form of a punitive letter of reprimand and forfeiture of one-half pay for one month (b)(6), (b)(7)(C) My findings were in accordance with (b)(6), (b)(7)(C) pleas of guilty.

6. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
NCIS (b)(6), (b)(7)(C)
DCIS

CONTINUATION OF NAVPERS 1626/7 ICO

(b)(6), (b)(7)(C)

Charge I: Violation of the UCMJ, Article 92 (continued)

(b)(6), (b)(7)(C)

Specification 2 (Dereliction of Duty): In that

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) on active duty, being at the time of the offense the (b)(6), (b)(7)(C) of Carrier Strike Group ONE, who knew or should have known of (b)(6), (b)(7)(C) duty at or near Kuala Lumpur, Malaysia, on or about 22 January 2011, was derelict in the performance of that duty in that (b)(6), (b)(7)(C) negligently failed to refuse the improper gift of a meal from Mr. Leonard Francis, a prohibited source, as it was (b)(6), (b)(7)(C) duty to do.

Specification 3 (Dereliction of Duty): In that

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) on active duty, being at the time of the offense the (b)(6), (b)(7)(C) of Carrier Strike Group ONE, who knew or should have known of (b)(6), (b)(7)(C) duty at or near Kuala Lumpur, Malaysia, on or about 22-25 January 2011, was derelict in the performance of that duty in that (b)(6), (b)(7)(C) negligently failed to refuse the improper gift of discounted hotel accommodations with an approximate value of \$716.00, from Mr. Leonard Francis, a prohibited source, as it was (b)(6), (b)(7)(C) duty to do.

Specification 4 (Dereliction of Duty): In that

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) on active duty, being at the time of the offense the (b)(6), (b)(7)(C) of Carrier Strike Group ONE, who knew or should have known of (b)(6), (b)(7)(C) duty at or near Manila, the Philippines, on or about 15-19 May 2011, was derelict in the performance of that duty in that (b)(6), (b)(7)(C) negligently failed to refuse the improper gift of discounted hotel accommodations with an approximate value of \$748.00, from Mr. Leonard Francis, a prohibited source, as it was (b)(6), (b)(7)(C) duty to do.

Specification 5 (Dereliction of Duty): In that

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) on active duty, being at the time of the offense the (b)(6), (b)(7)(C) of Carrier Strike Group ONE, who knew or should have known of (b)(6), (b)(7)(C) duty at or near Hong Kong, People's Republic of China, on or about 22-24 May 2011, was derelict in the performance of that duty in that (b)(6), (b)(7)(C) negligently failed to refuse the improper gift of discounted hotel accommodations with an approximate value of \$1,576.00, from Mr. Leonard Francis, a prohibited source, as it was (b)(6), (b)(7)(C) duty to do.

Charge II: Violation of the UCMJ, Article 133 (Conduct Unbecoming an Officer)

(b)(6), (b)(7)(C)

Specification: In that

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) on active duty, being at the time the offense the (b)(6), (b)(7)(C) of Carrier Strike Group ONE did, at or near Kuala Lumpur, Malaysia, Manila, the Philippines, and Hong Kong, People's Republic of China, on divers occasions between about January 2011 and about May 2011, wrongfully (1) provide a Flag Officer Roster to Mr. Leonard Francis, (2) negligently accept gifts from Mr. Leonard Francis in the form of a meal and discounted hotel rooms, (3) associate with women believed to be prostitutes while at group events with Mr. Leonard Francis, and (4) fail to take appropriate precautionary or remedial action that would be expected of an officer of (b)(6), (b)(7)(C) rank and experience by having repeated unofficial and unprofessional interactions with a prohibited source such conduct being unbecoming an officer (b)(6), (b)(7)(C)

PRELIMINARY INQUIRY REPORT

From: _____ Date: _____

To: _____

1. Transmitted herewith for preliminary inquiry and report by you, including, if appropriate in the interest of justice and discipline, the preferring of such charges as appeal to you to be sustained by expected evidence.

REMARKS OF DIVISION OFFICER (Performance of duty, etc.)

NAME OF WITNESS	RATE/GRADE	DIV/DEPT	NAME OF WITNESS	RATE/GRADE	DIV/DEPT

RECOMMENDATION AS TO DISPOSITION

☐ REFER TO COURT-MARTIAL FOR TRIAL OF ATTACHED CHARGES
(Complete Charge Sheet (DD Form 458) through Page 2)

☐ DISPOSE OF CASE AT MAST

☐ NO PUNITIVE ACTION NECESSARY OR DESIRABLE

☐ OTHER

COMMENT (Include data regarding availability of witnesses, summary of expected evidence, conflicts in evidence, if expected. Attach statements of witnesses, documentary evidence such as service record entries in UA cases, items of real evidence, etc.)

(Signature of Investigating Officer)

ACTION OF EXECUTIVE OFFICER

☐ DISMISSED

☐ REFER TO CAPTAIN'S MAST

SIGNATURE OF EXECUTIVE OFFICER

RIGHT TO DEMAND TRIAL BY COURT-MARTIAL

(Not applicable to persons attached to or embarked in a vessel)

I understand that nonjudicial punishment may not be imposed on me if, before the imposition of such punishment, I demand in lieu thereof trial by court-martial. I therefore (do) (do not) demand trial by court-martial. *SEE Agreement*

WITNESS

SIGNATURE OF ACCUSED

ACTION OF COMMANDING OFFICER

☐ DISMISSED

☐ DISMISSED WITH WARNING (Not considered NJP)

☐ ADMONITION: ORAL/IN WRITING

☒ REPRIMAND: ORAL/IN WRITING

☐ REST. TO _____ FOR _____ DAYS

☐ REST. TO _____ FOR _____ DAYS WITH SUSP. FROM DUTY

☒ FORFEITURE: TO FORFEIT \$ *1/2* PAY PER MO. FOR *1* MO(S)

☐ CONF. ON _____ 1, 2, OR 3 DAYS

☐ CORRECTIONAL CUSTODY FOR _____ DAYS

☐ REDUCTION TO NEXT INFERIOR PAY GRADE

☐ REDUCTION TO PAY GRADE OF _____

☐ EXTRA DUTIES FOR _____ DAYS

☐ PUNISHMENT SUSPENDED FOR _____

☐ REFER TO ART. 32 INVESTIGATION

☐ RECOMMENDED FOR TRIAL BY GCM

☐ DETENTION: TO HAVE \$ _____ PAY PER MO. FOR (1, 2, 3) MO(S) DETAINED FOR _____ MO(S)

☐ AWARDED SPCM

☐ AWARDED SCM

DATE OF MAST

22 Apr 2016

DATE ACCUSED INFORMED OF ABOVE ACTION

22 Apr 2016

SIGNATURE OF COMMANDING OFFICER

[Signature]

It has been explained to me and I understand that if I feel this imposition of nonjudicial punishment to be unjust or disproportionate to the offenses charged against me, I have the right to immediately appeal my conviction to the next higher authority within 5 days.

SIG

DATE

I have explained the above right

(b)(6), (b)(7)(C)

4/22/16

SIGNATURE OF WITNESS

(b)(6), (b)(7)(C)

DATE: *22 APR 16*

FINAL ADMINISTRATIVE ACTION

APPEAL SUBMITTED BY ACCUSED

DATED: _____

FORWARDED FOR DECISION ON: _____

FINAL RESULT OF APPEAL:

No Appeal Submitted

APPROPRIATE ENTRIES MADE IN SERVICE RECORD AND PAY ACCOUNT ADJUSTED WERE REQUIRED

DATE: *forfeitures processed 5/19/16*

(b)(6), (b)(7)(C)

PSP PT Loma

(Initials)

FILED IN UNIT PUNISHMENT BOOK:

DATE: _____

(Initials)

NAVPERS 1626/7 (Rev. 12-88) (BACK)